



# Quant Mutual Fund

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## NOTICE CUM ADDENDUM NO. 15/2020

### Introduction of new Plans for the Treatment of Unclaimed Redemption and Unclaimed Dividend Amounts:

Investors/unit holders are advised to take note that, pursuant to SEBI Circular reference no. SEBI/HO/IMD/DF2/CIR/P/2016/37 dated February 25, 2016 on treatment of unclaimed redemption and dividend amounts, quant Capital Trustee Ltd., the Trustee to quant Mutual Fund ("the Fund"), has approved the introduction of following separate plans under quant Liquid Fund (qLF), an Open-end Liquid scheme of quant Mutual Fund to deploy the unclaimed redemption and dividend amounts:

Plan Name	Date of Introduction
Unclaimed Redemption Plan - Growth Option	September 14, 2020
Unclaimed Dividend Plan - Growth Option	
Unclaimed Redemption Investor Education Plan - Growth Option	After completion of three years from the date of introduction of Unclaimed Redemption Plan
Unclaimed Dividend Investor Education Plan - Growth Option	After completion of three years from the date of introduction of Unclaimed Dividend Plan

Unclaimed Amount Plan(s) are launched for the limited purpose of deployment of unclaimed redemption and dividend amounts of the investors and will not be available for regular investments by investors or switches from existing plans / schemes of the Fund.

Unclaimed redemption/dividend amounts of investors of the Fund as on July 31, 2020 (irrespective of original expiry date of payment instruments) will be deployed under the respective Unclaimed Amount Plan(s) - Below 3 Years on the Effective Date. Subsequently, redemption / dividend amounts remaining unclaimed based on expiry of payment instruments will be identified on a monthly basis and amounts of unclaimed redemption/dividend would be deployed in the respective Unclaimed Amount Plan(s).

#### Scheme Characteristics:

The characteristics such as investment objective, asset allocation pattern, investment strategy, risk factors, portfolio, face value and terms and conditions for Unclaimed Amount Plan(s) will be same as the existing plans of the Scheme except for the following:

- Unclaimed Amount Plan(s) will have only growth option;
- The portfolio of Unclaimed Amount Plan(s) will form part of portfolio of the Scheme and there will be no separate portfolio for Unclaimed Amount Plan(s);
- The requirement of minimum amount for subscription / additional subscription and minimum amount / units for redemption will not be applicable to Unclaimed Amount Plan(s);
- The units under Unclaimed Amount Plan(s) will be allotted in the existing folio to which such unclaimed amounts relate and all the details of existing folio viz. bank details, KYC, PAN, nomination etc. will be applicable for folio under Unclaimed Amount Plan(s).
- No exit load will be charged under Unclaimed Amount Plan(s);
- There will be no ISINs for Unclaimed Amount Plan(s);
- The investment held under Unclaimed Amount Plan(s) cannot be switched out to any other option / plan / schemes of the Fund and investor can only claim the said amount by submitting a request claiming unclaimed amounts;
- Total Expense ratio (TER) of Unclaimed Amount Plan(s) will be capped at 50 bps;
- Units will be allotted on the Effective Date at a face value of ₹10/- per unit under the respective Unclaimed Amount Plan(s).

Thereafter, separate NAVs will be calculated for each Unclaimed Amount Plan(s) and will be applied accordingly for subsequent investment in / redemption from Unclaimed Amount Plan(s).

Investors who claim the unclaimed amount during a period of three years from the due date will be paid initial unclaimed amount along-with the income earned on its deployment. Investors who claim these amounts after 3 years, will be paid initial unclaimed amount along-with the income earned on its deployment till the end of third year. After the third year, the income earned on such unclaimed amounts shall be used for the purpose of investor education.

Investors should note that, as and when investor claims unclaimed redemption / dividend amounts after such amounts were invested in Unclaimed Amount Plan(s), redemption from Unclaimed Amount Plan(s) and subsequent payment to concerned investor may entail tax consequences. In view of individual nature of tax consequence, each Unit holder/Investor is advised to consult his / her professional tax advisor.

quant Money Managers Ltd. ('the AMC') shall send separate statement of account for allotment of units under Unclaimed Amount Plan(s) for first time with all details registered in the folio by way of a physical statement of account and/or an e-mail to the investor's registered address / e-mail address not later than five business days from the date of such allotment of units in Unclaimed Amount Plan(s). Subsequently, the information on unclaimed redemption / dividend amounts along with its prevailing value (based on income earned on deployment of such unclaimed amounts) will be separately disclosed through the periodic statement of account/ Consolidated Account Statement sent to the investors. The list of name(s) and addresses of investors of the Fund in whose folios there are unclaimed redemption/dividend amounts is made available on our website (www.quantmutual.com). An investor can obtain details after providing his proper credentials (like PAN, date of birth, etc.) along with other security controls put in place by the AMC. Further, the process for claiming unclaimed redemption and dividend amounts and necessary forms / documents required for the same is also made available on our website.

The Trustee to quant Mutual Fund reserves the right to change / modify above provisions at a later date.

Pursuant to above changes, necessary changes will be carried out at relevant places in Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme and Statement of Additional Information (SAI) of the Fund, as applicable.

All other terms & conditions of SID / KIM of the Scheme of the Fund and SAI of the Fund, as applicable will remain unchanged.

This addendum forms an integral part of Scheme Information Document / Key Information Memorandum of the Scheme of the Fund and Statement of Additional Information of the Fund, as applicable, as amended from time to time.

For quant Money Managers Limited

Sd/-

Authorised Signatory

Place : Mumbai

Date : 09.09.2020

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.