

## quant mutual

Corporate Office: 6th Floor, Sea Breeze Building, Appasaheb Marathe Marg, Prabhadevi, Mumbai - 400 025. | Tel: +91 22 6295 5000 Whatsapp message: +91 9920 21 22 23 | E-mail: help.investor@quant.in | help.distributor@quant.in | www.quantmutual.com

APP No.:

## SYSTEMATIC WITHDRAWAL PLAN (SWP) APPLICATION FORM

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1. DISTRIBUTOR / BROKER INFORMATION																																
Name & Broker Code / ARN	Sub Broker / Sub Agent AF					ARN (	ode		*Employee Unique Identification Number						Sub Broker / Sub Agent Code							RIA Code⁺⁺										
ARN- (ARN stamp here)																																
*Please sign below in case the EUIN is employee/relationship manager/sales pe																																
++ I/We, have invested in the Scheme(s) under Direct Plan of all Schemes Manage	of you ed by y	r Mut	tual Fu the a	ınd ur ıbove	nder [ ment	Direct ioned	Plan. I Mutu	/We h	nereby d Dist	give ributor	you m	y/our I-Regi	conse	ent to d Inve	share stmer	e/prov nt Adv	ide the	e tran	sactio	ns do	ıta fee	d/ po	rtfolio	holdii	ngs/ I	NAV e	tc. in	respe	t of m	y/our	inves	tments
2. EXISTING UNIT HOLDER I	INFO	DRM	ATIC	N			F	OLIO	NO																							
3. APPLICANT INFORMATIO	N																															
Name of First / Sole applicant																																
Name of Guardian																																
Name of Second Applicant																																
Name of Third Applicant																																
4. PARTICULARS FOR REGULAR WITHDRAWAL (If the investor wishes to invest in Direct Plan please mention Direct Plan against the scheme name)																																
Scheme Name											_ Pla	n				Optic	n						Δma	ount								
Frequency																																
SWP Date (Please tick any one):	] 1 (0	Defaul	(t)	5		] 10	)	1.	5	<u> </u>	20		25			Enro	men	it Per	riod F	rom	Ν	M	Υ	Υ	Υ	Y	o [	ΛΝ	Υ	Υ	Υ	Υ
The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete.																																
First / Sole Applice Authorised S				an				S	eco	nd A	Appl	icar	nt Au	utho	rise	d Sig	gnat	ory						Αι				ican gna	t tory			

## **INSTRUCTIONS FOR SWP**

- All SWP applications will be processed on the 1st/5th/10th/15th/20th/25th of every month or 1st/5th/10th/15th/20th/25th of every first month of every quarter. If such day happens to be a holiday, it will be processed on next working day. In case SWP date is not mentioned or incorrect/multiple SWP dates are mentioned, the default date of SWP will be treated as the 1st of every month/quarter/half year as per the frequency defined by the investor. For all the eligible schemes, the minimum withdrawal amount is ₹ 1000/- and in multiples of ₹1/- thereafter.
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- The Unit holder is required to define the frequency and amount of withdrawals. SWP forms received without this information will be treated incomplete and request for SWP will be
- The Unit holder needs to specify the start date and the end date in the SWP from. In cases where the start date and end date has not been specified, the SWP will commence from the first transaction date of the subsequent month in which such request is received and will continue till the balance in the account becomes nil.
- The amount withdrawn under the SWP will be considered as a redemption and shall be converted into 5.
- units and will be deducted from the unit balance of the Unit holder.

  The redemption proceeds will be paid by cheque/demand draft in favour of the registered first named Unit holder with the bank account number specified in the application form. The redemption cheque will be posted within normal service standards to the investors. No post-dated cheques will be issued against SWP transactions.
- issué against SWP transactions.

  A Unit holder who has opted for SWP under a specified account can also redeem or switch his units to any other eligible scheme or any other plans of the same scheme provided he has sufficient balance in his account on the date of such request. SWP will automatically cease in case the balance becomes nil subsequent to such redemption / switch transaction or falls below the required amount specified by the investor for SWP.

  The Unit holder can put in additional subscriptions, in accordance with conditions specified in the Statement of Additional Information (SAI) and Scheme Information Document(SID)for additional subscriptions in the account anytime during the existence of the concerned account. Such additional subscriptions in no way other the functioning of the SWP option, unless subsequent request to the contrary is received from the unit holder in writing.

  Any changes in the amount, frequency or period of SWP should be communicated to the Registrar's office at least 14 days in advance.
- office at least 14 days in advance.

  Investors who have made investments in ELSS schemes should note that SWP is not available to them during the stipulated lock in period.

  SWP request in any other form beside the specified format will be treated as invalid and is liable to be 10
- 11. reiected
- Investors are required to clearly indicate the plans/options in the application form of the scheme Investor may note that following shall be applicable for default plan
  - **Broker Code mentioned** Plan mentioned by Default Plan to Scenario by the investor the investor be captured Not mentioned Not mentioned Direct Plan Not mentioned Direct Plan Direct Plan Not mentioned Regular Plan Direct Plan Mentioned Direct Plan Direct Plan Direct Plan Direct Not mentioned Regular Plan Direct Plan Direct Regular Plan Regular Plan Mentioned Mentioned Regular Plan

Not mentioned

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load. Similarly, in the absence of clear indication as the choice of option (Growth or Dividend Payout), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

The SWP form duly completed may be submitted at the nearest Investor Service Centre or mailed to the Registrar: M/s Karvy Computershare Pvt. Ltd., Karvy Selenium, Tower B, Plot Nos. 31 &32, Financial District, Nanakramguda, Serillingampally Mandal, Hyderabad - 500032.

## Communication for the Investors. :

Communication for the investors.:
In accordance with SEBI Circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011 and SEBI Circular no. CIR/MRD/DP/31/2014 dated November 12, 2014 the investor whose transaction has been accepted by the quant Mutual Fund shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account

registered e-'mail address and/or mobile number. Thereafter, à Consolidated Account
Statement ("CAS") shall be issued in line with the following procedure:

1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

2. The CAS shall be penerated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.

3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis (at the end of every six months (i.e. September/March))

4. Investors having MF investments and holding securities in Demot account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode. Investors having MF investments and not having Demat account shall receive a Consolidated Account Statement from the MF ladustry containing details of transactions for fransactions across all Mutual Funds schemes and securities from the MF ladustry containing details of transactions for the screive a Consolidated Account Statement from the MF ladustry containing details of transactions for the screive a Consolidated Account Statement from the MF ladustry containing details of transactions for the screive a Consolidated Account Statement from the MF ladustry containing details of transactions for the screive a Consolidated Account Statement from the MF ladustry containing details of transactions for the screive a Consolidated Account Statement from the MF ladustry containing details of transactions across all Mutual Funds screives a Consolidated Account Statement from the MF ladustry containing details of transactions across all Mutual Funds and the screen and screen account shall receive a Consolidated

Consolidated Account Statement from the MF Industry containing details of transactions

Consolidated Account Statement from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode.

The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, and systematic transfer plan and bonus transactions.

CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by quant Mutual Fund for each calendar month on or before 10th of the immediately succeeding month.

In case of a specific request received from the Unit holders, quant Mutual Fund will provide the account statement to the investors within 5 Business Days from the receipt of such request.

quast <sup>®</sup>	ACKNOWLEDGEMENT SLIP (To be fille	d by the investor)	APP No.:				
multi asset, multi manager							
Received from Mr./Mrs./Ms		for SWP in	Time Stamp & Date				
Scheme	in Folio/Accou	in Folio/Account No:					
for amount	and Frequency						
Please note your Statement of Account is	sent once a month through CAS or ECAS if email id is updated in the folio. To h	lave an access to the folio stateme	nt visit our website to gene	erate an instant account statement.			