KEY INFORMATION MEMORANDUM



multi asset, multi manager

quant Arbitrage Fund

(An open ended scheme investing in arbitrage opportunities)

This product is suitable for investors who are seeking*:	Scheme Riskometer	Benchmark Riskometer	
 Income over short to medium term Investment in arbitrage opportunities in the cash & derivatives segment of the equity market 	Low to Moderate Risk The risk of the schanne is low risk Investors understand that their principal will be at low risk.	Investors understand that their principal will be at low risk. As per AMFI Tier I Benchmark — Nifty 50 Arbitrage TRI	

^{*}Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for Sale of Units of Face Value of Rs. 10/- per unit for Cash during the New Fund Offer Period and at NAV based prices upon re-opening

New Fund Offer Opens on: March 18, 2025 New Fund Offer Closes on: April 01, 2025

Scheme Reopens for continuous sale and repurchase on: within 5 business days from the date of allotment of units under NFO

Name of Mutual Fund : quant Mutual Fund

Name of Asset Management Company : quant Money Managers Limited
Name of Trustee Company : quant Capital Trustee Limited

Address, Website of the entities : 6th Floor, Sea Breeze Building, AppaSaheb Marathe Marg,

Prabhadevi, Mumbai – 400 025.

www.guantmutual.com

Name of Sponsor : quant Capital Finance and Investments Private Limited

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Offer Document available free of cost at any of the Investor Service Centres or distributors or from the website www.quantmutual.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated March 10, 2025.

Investment Objective

The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and the derivative segments of the equity markets and the arbitrage opportunities available within the derivative segment and by investing the balance in debt and money market instruments. There is no assurance that the investment objective of the scheme will be achieved.

Asset Allocation Pattern of the Scheme

Under normal circumstances, the asset allocation under the Scheme will be as follows:

Instruments	Indicative allocations (% of total assets)	
	Minimum	Maximum
Equity & Equity related instruments including derivatives (index futures, stock futures, index options and stock options, etc)	65	100
Debt (including securitised debt) & Money Market instruments including the margin money deployed in derivative transactions. **	0	35
Units issued by REITs and InvITs	0	10

The exposure to derivative shown in the above asset allocation table is exposure taken against the underlying equity investments i.e. in case the scheme shall have a long position in a security and a corresponding short position in the same security, then the exposure for the purpose of asset allocation will be counted only for the long position. The intent is to avoid double counting of exposure and not to take additional asset allocation with the use of derivative. If suitable arbitrage opportunities are not available in the opinion of the Investment manager, the Scheme may hedge the equity portfolio by using derivatives or may invest in short term debt / money market instruments.

The notional value of exposure in equity derivatives would be reckoned for equity securities exposure. The notional value of exposure in debt derivatives would be reckoned for debt and money market securities exposure.

The Cumulative Gross Exposure to Equity, Debt, Money market instruments, Derivatives, repo transactions in corporate debt securities etc. and such other securities/assets as may be permitted by SEBI Board from time to time, subject to prior approval from SEBI, if required, should not exceed 100% of the net assets of the scheme in line with clause 12.24 of SEBI Master Circular on Mutual Funds dated June 27, 2024.

According to clause 1A of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996, a mutual fund scheme shall not invest in unlisted debt instruments including commercial papers, except Government Securities and other money market instruments: Provided that Mutual Fund Schemes may invest in unlisted non-convertible debentures up to a maximum of 10% of the debt portfolio of the scheme subject to such conditions as may be specified by the Board from time to time: Provided further that mutual fund schemes shall comply with the norms under this clause within the time and in the manner as may be specified by the Board: Provided further that the norms for investments by mutual fund schemes in unrated debt instruments shall be specified by the Board from time to time.

According to clause 12.1.1 of SEBI Master Circular dated June 27, 2024, mutual fund scheme shall not invest in unlisted debt instruments including commercial papers (CPs), other than (a) government securities, (b) other money market instruments and (c) derivative products such as Interest Rate Swaps (IRS), Interest Rate Futures (IRF), etc. which are used by mutual funds for hedging. However, mutual fund schemes may invest in unlisted Non-Convertible

Debentures (NCDs) not exceeding 10% of the debt portfolio of the scheme subject to the condition that such unlisted NCDs have a simple structure (i.e. with fixed and uniform coupon, fixed maturity period, without any options, fully paid up upfront, without any credit enhancements or structured obligations) and are rated and secured with coupon payment frequency on monthly basis.

Note:

- (i) ** Debt securities / instruments are deemed to include securitized debt and investment in securitized debt will not exceed 50% of the debt portion of the scheme in accordance with clause 1 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996.
- (ii) The asset allocation as given under normal circumstances is indicative and may vary according to circumstances at the sole discretion of the Fund Manager. Review and rebalancing will be conducted when the asset allocation falls outside the range indicated above, within a reasonable period of time.

The Scheme shall have exposure to following instruments as per the percentages prescribed below and actual instrument/percentages may vary subject to applicable circulars:

Sr. No.	Type of instrument	Percentage of exposure	Circular references
1	Securitized Debt	Not exceeding 50% of the debt portion of the scheme	Clause 12.15 of SEBI Master Circular on Mutual Funds
2	Derivatives - For Hedging (arbitrage exposure)	Upto 100% of the net assets of the Scheme	Clause 7.5, 7.6, 12.25 of SEBI Master Circular on Mutual Funds dated June 27, 2024
3	Securities Lending	The Scheme shall adhere to the following limits should it engage in Stock Lending: 1.Not more than 20% of the net assets of the Scheme can generally be deployed in Stock Lending. 2.Not more than 5% of the net assets of the Scheme can generally be deployed in Stock Lending to any single approved intermediary i.e the limit of 5% will be at broker level.	Clause 12.11 of SEBI Master Circular on Mutual Funds dated June 27, 2024
4	Mutual Fund Units	Upto 5% at of the net asset value of the mutual fund	Clause 4 of Seventh Schedule of SEBI MF Regulations.
5	Debt Instruments having Structured Obligation (SO rating) and / or	Upto 10% of debt portfolio of the Scheme	Clause 12.3 of SEBI Master Circular on Mutual Fundsdated June 27,

	Credit Enhancements (CE rating) and Debt Instruments with special features i.e. Additional Tier I (AT1) / Perpetual Bonds and Tier 2 Bonds		2024
6	Short term deposits of all the Scheduled Commercial Banks (pending deployment)	Upto 15% of net assets of the Scheme (Upto 20% of net assets of the Scheme with Trustee Approval)	Clause 12.16 as per SEBI Master Circular on Mutual Funds dated June 27, 2024
7	Triparty Repo (TREPS) on Government securities or treasury bills.	Upto 35% of net assets of the Scheme	Clause 1 of Seventh Schedule
8	Unrated debt and money market instruments	Upto 5% of the net assets of the Scheme (with approval of AMC and Trustee)	Clause 12.1.5 of SEBI Master Circular on Mutual Funds dated June 27, 2024
9	Unlisted nonconvertible Debentures	Upto 10% of the debt portfolio of the scheme	Clause 1A of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996 and Clause 12.1.1 of SEBI Master Circular on Mutual Funds dated June 27, 2024
10	Foreign Securitized Debt	The Scheme shall not invest in this instrument	-
11	Repo/ reverse repo transactions in corporate debt securities	The Scheme shall not invest in this instrument	-
12	Credit Default Swaps	The Scheme shall not invest in this instrument	-
13	Overseas Investments / ADR / GDR	The Scheme shall not invest in this instrument	-
	Investment in Short Term Deposits	Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of the Scheduled Commercial Banks, subject to the guidelines issued by SEBI from time to time. Investment in liquid schemes or schemes that	Clause 12.16 of SEBI Master circular on Mutual Funds dated June 27, 2024

		invest predominantly in money market instruments/ securities will be made for funds pending deployment.	
16	Real Estate Investment Trusts (REITs), Infrastructure Investment Trusts (InvITs)	Upto 10% of the net assets of the Scheme	Clause 12.21 of SEBI Master Circular on Mutual Funds dated June 27, 2024
17	Debt derivatives	Upto 20% of the net asset of the scheme (hedging purpose)	Clause 12.5 of SEBI Master Circular on Mutual Funds dated June 27, 2024

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.

The AMC may from time to time, pending investment in terms of investment objective of the Scheme and/or for a short term period on defensive consideration invest upto 100% of the funds available in short term money market instruments including cash and cash equivalents, the primary motive being to protect the Net Asset Value of the Scheme and protect Unit holders interest so also to earn reasonable returns on liquid funds maintained for redemption/ repurchase of units.

The Scheme may enter into repos/reverse repos other than repo in corporate debt securities as may be permitted by RBI. From time to time, the Scheme may hold cash. A part of the net assets may be invested in the Triparty repo (TREPS) on Government securities or treasury bills or repo or in an alternative investment as may be provided by RBI to meet the liquidity requirements.

The Scheme shall at all times endeavor to take advantage of the arbitrage opportunities available due to difference in pricing emerging between cash market and the derivatives market. However, as the Scheme wants to avail of the benefit granted under the Incometax Act to equity-oriented funds as defined under Section 115T, the Scheme shall, in the absence of adequate income earning arbitrage opportunities, invest only in equity shares of domestic companies.

Subject to the Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be for short term and defensive considerations.

Under defensive circumstances, the asset allocation may be as follows:

Instruments	Indicative allocations (% of total assets)		
	Minimum	Maximum	
Equity & Equity related instruments including derivatives	0	65	
Debt (including securitised debt) & Money Market instruments including the margin money deployed in derivative transactions **	35	100	
Units issued by REITs and InvITs	0	10	

The exposure to derivative shown in the above asset allocation table is exposure taken against the underlying equity investments i.e. in case the scheme shall have a long position in a security and a corresponding short position in the same security, then the exposure for the purpose of asset allocation will be counted only for the long position. The intent is to avoid double counting of exposure and not to take additional asset allocation with the use of derivative. If suitable arbitrage opportunities are not available in the opinion of the Investment manager, the Scheme may hedge the equity portfolio by using derivatives or may invest in short term debt / money market instruments.

The notional value of exposure in equity derivatives would be reckoned for equity securities exposure. The notional value of exposure in debt derivatives would be reckoned for debt and money market securities exposure.

Note:

- (i) ** Debt securities / instruments are deemed to include securitized debt and investment in securitized debt will not exceed 50% of the debt portion of the scheme in accordance with clause 1 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996.
- (ii) The asset allocation as given under normal circumstances is indicative and may vary according to circumstances at the sole discretion of the Fund Manager. Review and rebalancing will be conducted when the asset allocation falls outside the range indicated above, within a reasonable period of time.

Defensive circumstances are when the arbitrage opportunities in the market place are negligible or returns are lower than alternative investment opportunities as per allocation pattern. The allocation under defensive considerations will be made keeping in view the interest of the unitholders.

DEPLOYMENT OF FUNDS DURING NEW FUND OFFER (NFO) PERIOD:

As per Regulation 35(5) of MF Regulations and SEBI Circular dated February 14, 2025, the AMC shall deploy the funds garnered in the NFO within 30 business days from the date of allotment of units.

In an exceptional case, if the Quant AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of Quant AMC. The Investment Committee may extend the timeline by 30 business days, while also making recommendations on how to ensure deployment within 30 business days going forward and monitoring the same. The Investment Committee shall examine the root cause for delay in deployment before granting approval for part or full extension. The Investment Committee shall not ordinarily

give part or full extension where the assets for any scheme are liquid and readily available.

In case the funds are not deployed as per the asset allocation mentioned in the SID as per the aforesaid mandated plus extended timelines, AMC shall:

- i. not be permitted to receive fresh flows in the same scheme till the time the funds are deployed as per the asset allocation mentioned in the SID.
- ii. not be permitted to levy exit load, if any, on the investors exiting the scheme after 60 business days of not complying with the asset allocation of the scheme.
- iii. inform all investors of the NFO, about the exit option without exit load, via email, SMS or other similar mode of communication.
- iv. report deviation, if any, to Trustees at each of the above stages.

To effectively manage the fund flows in NFO, the fund manager(s) may extend or shorten the NFO period, based on their view of the market dynamics, availability of assets and their ability to deploy funds collected in NFO. However, the same shall be subject to compliance with Para 1.10.1 and 1.10.1A of the SEBI Master Circular for Mutual Funds dated June 27, 2024.

CHANGES IN ASSET ALLOCATION PATTERN / PORTFOLIO REBALANCING:

Short Term Defensive Consideration:

Subject to SEBI (MF) Regulations the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Investment Manager, the intention being at all times to seek to protect the interests of the Unit holders. As per clause 1.14.1.2.b of SEBI Master Circular on Mutual Funds dated June 27, 2024, as may be amended from time to time, such changes in the investment pattern will be for short term and for defensive consideration only.

In the event of change in the asset allocation, the fund manager will carry out portfolio rebalancing within 30 calendar days or such other timeline as may be prescribed by SEBI from time to time.

Portfolio Rebalancing (in case of passive breaches):

As per clause 2.9 of SEBI Master Circular on Mutual Funds dated June 27, 2024, as may be amended/ clarified from time to time, in the event of change in the asset allocation due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the fund manager is required to carry out portfolio rebalancing within 30 Business Days.

In case the portfolio is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to 60 Business Days from the date of completion of mandated rebalancing period. In case the portfolio of the scheme is not rebalanced within the aforementioned mandated plus extended timelines, the AMC shall follow the requirements specified under the aforesaid circular including reporting the deviation to Trustees at each stage.

Investment Strategy

The Scheme will be actively managed. The Fund Manager would identify arbitrage opportunities and execute the deals simultaneously in both the markets. In terms of the SEBI guidelines, the Scheme shall not short sell in the cash market at all times. The debt component of the Scheme would be invested in debt securities and money market instruments. The duration of the debt portfolio would primarily be managed with a view to generate income with minimum interest rate risk.

For details, please refer SID

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.

Scheme specific risk factors are summarized below:

"Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies".

"The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments"

The performance of the scheme may be affected by the corporate performance, macroeconomic factors, changes in Government policies, general levels of interest rates and risk associated with trading volumes, liquidity and settlement systems in the securities markets. Low trading volumes, settlement periods and transfer procedures may restrict the liquidity of the scheme's investments. Transacting may become difficult due to extreme volatility in the market resulting in constriction in volumes. Additionally, changes in the SEBI/ RBI regulations/Guidelines may have an adverse impact on the liquidity of the scheme. Different segments of the Indian financial markets have different settlement periods, and such period may be extended significantly by unforeseen circumstances. The length of time for settlement may affect the Scheme in the event the Scheme has to meet an inordinately large number of redemption requests. In addition, the Trustee, at its sole discretion, reserves the right to limit or withdraw sale and/or repurchase/redemption and/or switching of the units in the scheme (including any one of the Plans of the scheme) temporarily or indefinitely under certain circumstances. The scheme will retain certain investments in cash or cash equivalent for the day-to-day liquidity requirements. In case of a large redemption, the scheme may need to reverse the spot-futures transaction before the date of futures' settlement. This eventuality may lead to the basis risk. While reversing the spot-futures transaction on the Futures & Options settlement day on the National Stock Exchange, there could be a risk of volume-weighted-averageprice of the market being different from the price at which the actual reversal is processed. This may result in basis risk.

1. Risks associated with Equity investment

• Market Risk: The scheme proposes to invest in equity and equity-related securities. Prices, trading volumes, settlement periods and transfer procedures may restrict liquidity of investments in equity and equity-related securities. Market risk is a risk which is inherent to an equity investment. • Liquidity Risk: Risk will be monitored in terms of the number of

days it takes to liquidate every stock in the portfolio assuming a share of the average volume traded over the previous one year. Efforts would be made to keep the average liquidation period under prudent limits prescribed internally. While securities that are listed on the stock exchange carry lower liquidity risk, the ability to sell these investments may be limited by overall trading volumes of the stock exchanges. Securities which are not quoted on the stock exchanges are inherently illiquid in nature and carry a larger liquidity risk in comparison with securities that are listed on the exchanges or offer other exit options to the investors, including put options.

Additionally, the liquidity and valuation of the Scheme's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to the target date of disinvestment. & Liquidity in Equity investments may be affected by trading volumes, settlement periods and transfer procedures. These factors may also affect the Scheme's ability to make intended purchases/sales, cause potential losses to the Scheme and result in the Scheme missing certain investment opportunities. These factors can also affect the time taken by quant Mutual Fund for redemption of Units, which could be significant in the event of receipt of a very large number of redemption requests or very large value redemption requests. In view of this, redemption may be limited or suspended after approval from the Boards of Directors of the AMC and the Trustee, under certain circumstances as described in the Statement of Additional Information. A Potential Loss associated with Derivative Trading pertaining to Equity Markets: a) In case of investments in index futures, the risk would be the same as in the case of investments in a portfolio of shares representing an index. The extent of loss is the same as in the underlying stocks. In case futures are used for hedging a portfolio of stocks, which is different from the index stocks, the extent of loss could be more or less depending on the coefficient of variation of such portfolio with respect to the index; such coefficient is known as Beta. b) The risk (loss) for an options buyer is limited to the premium paid, while the risk (loss) of an options writer is unlimited, the latter's gains being limited to the premiums earned. The writer of a put option bears a risk of loss if the value of the underlying asset declines below the exercise price. The writer of a call option bears a risk of loss if the value of the underlying asset increases above the exercise price. & Concentration Risk: Scheme may restrict its investments only to a particular sector. If the sector, for any reason, fails to perform, the portfolio value will plummet, and the Investment Manager will not be able to diversify the investment in any other sector. Investments under this scheme will be in a portfolio of diversified equity or equity related stocks spanning across a few selected sectors. Hence concentration risks could high.

2. Risk Factors associated with investment in Fixed Income Securities

- Interest rate risk: This risk results from changes in demand and supply for money and other macroeconomic factors and creates price changes in the value of debt instruments. Consequently, the Net Asset Value of the scheme may be subject to fluctuation. Changes in the interest rates may affect the Scheme's Net Asset Value as the prices of securities generally increase as interest rates decline and generally decrease as interest rates rise. Prices of long term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby possible movements in the NAV. This may expose the scheme to possible capital erosion
- Credit risk or default risk: This refers to the risk that an issuer of a fixed income security may default (i.e. will be unable to make timely principal and interest payments on the security). Default risk / credit risk arises due to an issuer's inability to meet obligations on the principal repayment and interest payments. Because of this risk corporate debentures are sold at a yield above those offered on Government Securities, which are sovereign

obligations and free of credit risk. Normally the value of a fixed income security will fluctuate depending upon the changes in the perceived level of credit risk as well as any actual event of default. The greater the credit risk, the greater the yield required for someone to be compensated for the increased risk. • Market risk: This risk arises due to price volatility due to such factors as interest sensitivity, market perception or the credit worthiness of the issuer and general market liquidity, change in interest rate expectations and liquidity flows. Market risk is a risk which is inherent to investments in securities. This may expose the scheme to possible capital erosion. • Reinvestment risk: This risk refers to the interest rate levels at which cash flows received for the securities in the Scheme are reinvested. Investments in debt instruments are subject to reinvestment risks as interest rates prevailing on interest or maturity due dates may differ from the original coupon of the bond, which might result in the proceeds being invested at a lower rate. The additional risk from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed. • Liquidity or Marketability Risk: This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. Liquidity risk today is a characteristic of the Indian fixed income market. • Certain fixed income securities give an issuer the right to call its securities, before their maturity date, in periods of declining interest rates. The possibility of such prepayment risk may force the fund to re-invest the proceeds of such investments in securities offering lower yields, thereby reducing the fund's interest income. • The scheme may invest in non-publicly offered debt securities. This may expose the scheme to liquidity risks. • Different types of securities in which the scheme would invest as given in the Scheme Information Document carry different levels and types of risks. Accordingly, the scheme's risk may increase or decrease depending upon its investment pattern. e.g. corporate bonds carry a higher amount of risk than Government securities. Further even among corporate bonds, bonds which are AAA rated are comparatively less risky than bonds which are AA rated. • Money market securities, while fairly liquid, lack a well-developed secondary market, which may restrict the selling ability of the scheme. • The use of an Interest Rate Swap ('IRS') does not eliminate the credit (default) risk on the original investment. While the fixed to floating rate IRS reduces interest rate risk caused by rise in interest rates, it also restricts the profit in case interest rates decline. In case of a floating to fixed rate swap, any subsequent rise in interest rates will result in a loss like in any fixed rate investment. Any IRS carries, the risk of default of the counter party to the swap, which may lead to a loss. Such loss is usually, a small proportion of the notional principal amount of the swap. • Basis Risk: During the life of floating rate security or a swap the underlying benchmark index may become less active and may not capture the actual movement in the interest rates or at times the benchmark may cease to exist. These types of events may result in loss of value in the portfolio. Where swaps are used to hedge an underlying fixed income security, basis risk could arise when the fixed income yield curve moves differently from that of the swap benchmark curve.

3. Risks associated with Derivatives

• Derivatives are high risk, high return instruments as they may be highly leveraged. A small price movement in the underlying security could have a large impact on their value and may also result in a loss. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. • Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve

uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies. • The Scheme may find it difficult or impossible to execute derivative transactions in certain circumstances. For example, when there are insufficient bids or suspension of trading due to price limit or circuit breakers, the Scheme may face a liquidity issue. • The Stock Exchange may impose restrictions on exercise of options and may also restrict the exercise of options at certain times in specified circumstances and this could impact the value of the portfolio. • In case of investments in index futures, the risk would be the same as in the case of investments in a portfolio of shares representing an index. The extent of loss is the same as in the underlying stocks. In case futures are used for hedging a portfolio of stocks, which is different from the index stocks, the extent of loss could be more or less depending on the coefficient of variation of such portfolio with respect to the index; such coefficient is known as Beta. • The risks associated with futures are similar to those associated with equity investments. Additional risks could be on account of illiquidity and potential mispricing of the futures and the inability of derivatives to correlate perfectly with underlying assets, rates and indices. • The Long position in the Index (e.g. Nifty Index) will have as much loss as the gain in the short portfolio if hedged completely and would be vice versa if we were holding long portfolio, short Index. • While Futures markets are typically more liquid than the underlying cash market, there can be no assurance that ready liquidity would exist at all points in time for scheme to purchase or close out a specific futures contract. • The risk (loss) for an options buyer is limited to the premium paid, while the risk (loss) of an options writer is unlimited, the latter's gains being limited to the premiums earned. However, in the case of quant Mutual Fund, all option positions will have underlying assets and therefore all losses due to pricemovement beyond the strike price will actually be an opportunity loss. The writer of a put option bears a risk of loss if the value of the underlying asset declines below the exercise price. The writer of a call option bears a risk of loss if the value of the underlying asset increases above the exercise price. • Risks associated with writing Covered Call Options for Equity Shares A call option gives the holder (buyer) the right but not the obligation to buy an asset by a certain date for a certain price. Covered calls are an options strategy where a person holds a long position in an asset and writes (sells) call options on that same asset to generate an income stream. The Scheme may write call options under covered call strategy, as permitted by the regulations. Risks associated thereto are mentioned below: - Opportunity cost: A covered call strategy limits the upside potential of the underlying stock. If the stock rises sharply, the gains above the call option's strike price will be missed out. When the underlying asset's price rises above the strike price, the short call loses its value as much as the underlying stock gains and as a result the upside of the stock always gets capped. Downside risk is reduced by writing covered call options. - Exit issues / Illiquidity risk: If the strike price at which the call option contracts have been written become illiquid, the scheme may not be able to sell the underlying equity shares, which can lead to temporary illiquidity of the underlying equity shares and result in loss of opportunity. Also, if covered call options are sold to the maximum extent allowed by regulatory authority, the scheme may not be able to sell the underlying equity shares immediately in case where the view changes to sell and exit the stock. - The total gross exposure related to option premium paid and received must not exceed the regulatory limits of the net assets of the scheme. This may restrict the ability of scheme to utilize options strategies. • The Scheme may find it difficult or impossible to execute derivative transactions in certain circumstances. For example, when there are insufficient bids or suspension of trading due to price limit or circuit breakers, the Scheme may face a liquidity issue. • The Stock Exchange may impose restrictions on exercise of options and may also restrict the exercise of options at certain times in specified circumstances and this could impact the value of the portfolio. • The certain risk factors arising out of a derivative strategy may be as below: - Lack of opportunity available in the market. - Execution Risk: The prices which are seen on the screen need not be the same at which execution will take place. - Exchanges could raise the initial margin, variation margin or other forms of margin on derivative contracts, impose one sided margin or insist that margins be placed in cash. All of these might force positions to be unwound at a loss and might materially impact returns. • Interest rate swaps and Forward Rate Agreement require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that the derivative adds to the portfolio and the ability to forecast failure of another party (usually referred to as the "counter-party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives, the credit risk where the danger is that of a counter-party failing to honour its commitment, liquidity risk where the danger is that the derivative cannot be sold at prices that reflect the underlying assets, rates and indices, and price risk where the market price may move in adverse fashion.

4. Risks associated with Securitised Debts

The Risks involved in Securitised Papers described below are the principal ones and does not represent that the statement of risks set out hereunder is exhaustive. • Limited Liquidity & Price Risk There is no assurance that a deep secondary market will develop for the Certificates. This could limit the ability of the investor to resell them. • Limited Recourse, Delinquency and Credit Risk The Credit Enhancement stipulated represents a limited loss cover to the Investors. These Certificates represent an undivided beneficial interest in the underlying receivables and do not represent an obligation of either the Issuer or the Seller or the originator, or the parent or any affiliate of the Seller, Issuer and Originator. No financial recourse is available to the Certificate Holders against the Investors' Representative. Delinquencies and credit losses may cause depletion of the amount available under the Credit Enhancement and thereby the Investor Payouts to the Certificate Holders may get affected if the amount available in the Credit Enhancement facility is not enough to cover the shortfall. On persistent default of an Obligor to repay his obligation, the Servicer may repossess and sell the Asset. However, many factors may affect, delay or prevent the repossession of such Asset or the length of time required to realise the sale proceeds on such sales. In addition, the price at which such Asset may be sold may be lower than the amount due from that Obligor. • Risks due to possible prepayments and Charge Offs In the event of prepayments, investors may be exposed to changes in tenor and yield. Also, any Charge Offs would result in the reduction in the tenor of the Pass Through Certificates (PTCs). • Bankruptcy of Bank with Liquidity facility If the Bank with Liquidity facility, becomes subject to bankruptcy proceedings then an investor could experience losses or delays in the payments. • Risk of Co-mingling With respect to the Certificates, the Servicer will deposit all payments received from the Obligors into the Collection Account. However, there could be a time gap between collection by a Servicer and depositing the same into the Collection account especially considering that some of the collections may be in the form of cash. In this interim period, collections from the Loan Agreements may not be segregated from other funds of originator. If originator in its capacity as Servicer fails to remit such funds due to Investors, the Investors may be exposed to a potential loss.

5. Risks associated with Securities Lending

As with other modes of extensions of credit, there are risks inherent to securities lending, including the risk of failure of the other party, in this case the approved intermediary, to comply with the terms of the agreement entered into between the lender of securities i.e. the Scheme and the approved intermediary. Such failure can result in the possible loss of rights to the collateral put up by the borrower of the securities, the inability of the approved

intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The scheme may not be able to sell lent out securities, which can lead to temporary illiquidity & loss of opportunity.

<u>6. Risk factors associated with processing of transaction through Stock Exchange</u> Mechanism

The trading mechanism introduced by the stock exchange(s) is configured to accept and process transactions for mutual fund units in both Physical and Demat Form. The allotment and/or redemption of Units through NSE and/or BSE or any other recognised stock exchange(s), on any Business Day will depend upon the modalities of processing viz. collection of application form, order processing/settlement, etc. upon which the AMC has no control. Moreover, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by respective recognized stock exchange(s).

7. Risk factors associated with investment in Tri-Party Repo

All transactions of the mutual fund in government securities and in Tri-party Repo trades are settled centrally through the infrastructure and settlement systems provided by Clearing Corporation of India (CCIL). This reduces the settlement and counterparty risks considerably. Mutual funds are member of securities segment and Triparty Repo trade settlement of CCIL. The members are required to contribute an amount from time to time to the default fund maintained by CCIL as a part of the default waterfall (a loss mitigating measure of CCIL in case of default by any member in settling transactions routed through CCIL). As per the waterfall mechanism, after the defaulter's margins and the defaulter's contribution to the default fund have been appropriated, CCIL's contribution is used to meet the losses. Post utilization of CCIL's contribution if there is a residual loss, it is appropriated from the default fund contributions of the nondefaulting members. Thus, the scheme is subject to risk of the initial margin and default fund contribution being invoked in the event of failure of any settlement obligations. Additionally, the fund contribution is allowed to meet the residual loss in case of default by the other clearing member (the defaulting member). CCIL maintains two separate Default Funds with respect to the Securities Segment. One with a view to meet losses arising out of any default by its members from outright and repo trades and the other for meeting losses arising out of any default by its members from Triparty Repo trades. Therefore, mutual fund is exposed to the extent of its contribution to the default fund of CCIL, in the event that the contribution of the mutual fund is called upon to absorb settlement/default losses of another member by CCIL, as a result the scheme may lose an amount equivalent to its contribution to the default fund.

8. Risk factors associated with REITs and InvITs:

• Price Risk: Securities/Instruments of REITs and InvITs are volatile and prone to price fluctuations on a daily basis owing to market movements. The extent of fall or rise in the prices is a fluctuation in general market conditions, factors and forces affecting capital market, Real Estate and Infrastructure sectors, level of interest rates, trading volumes, settlement periods and transfer procedures. • Interest Rate Risk: Securities/Instruments of REITs and InvITs run interest rate risk. Generally, when interest rates rise, prices of units fall and when interest rates drop, such prices increase. • Credit Risk: Credit risk means that the issuer of a REIT/InvIT security/ instrument may default on interest payment or even on paying back the principal amount on maturity. Securities/ Instruments of REITs and InvITs

are likely to have volatile cash flows as the repayment dates would not necessarily be pre scheduled. • Liquidity Risk: This refers to the ease with which securities/instruments of REITs/InvITs can be sold. There is no assurance that an active secondary market will develop or be maintained. Hence there would be time when trading in the units could be infrequent. The subsequent valuation of illiquid units may reflect a discount from the market price of comparable securities/instruments for which a liquid market exists. As these products are new to the market they are likely to be exposed to liquidity risk. • Reinvestment Risk: Investments in securities/instruments of REITs and InvITs may carry reinvestment risk as there could be repatriation of funds by the Trusts in form of buyback of units or Dividend pay-outs, etc. Consequently, the proceeds may get invested in assets providing lower return

Legal and Regulatory Risk: The regulatory framework governing investments in securities/instruments of REITs and InvITs comprises a relatively new set of regulations and is therefore untested, interpretation and enforcement by regulators and courts involves uncertainties. Presently, it is difficult to forecast as to how any new laws, regulations or standards or future amendments will affect the issuers of REITs/InvITs and the sector as a whole. Furthermore, no assurance can be given that the regulatory system will not change in a way that will impair the ability of the Issuers to comply with the regulations, conduct the business, compete effectively or make distributions.

9. Risk factors associated for investments in Mutual Fund Schemes

- 1. Movements in the Net Asset Value (NAV) of these Schemes may impact the performance. Any change in the investment policies or fundamental attributes of these Schemes will affect the performance of the Scheme to the extent of investment in such schemes.
- 2. Redemptions by in these Schemes would be subject to applicable exit loads.

For details on risk factors and risk mitigation measures, please refer SID.

Plans/Options

Investors are offered the following Investment Plan(s) to invest in the Scheme: Each Plan offers Regular Plan and Direct Plan.

Direct Plan

Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.

Regular Plan

Regular Plan is available for all type of investors investing through a Distributor.

All the plans will have common portfolio.

Options under each Plan(s)

- Growth
- Income Distribution cum Capital Withdrawl (IDCW) (Payout and Re-investment Facility)

Default Plan Options

Between "Growth" or "IDCW" option, the default will be treated as "Growth". In "IDCW" option between "IDCW Payout" or "IDCW Reinvestment", the default will be treated as "IDCW Reinvestment"

For detailed disclosure on default plans and options, kindly refer SAI.

Applicable NAV	The below cut-off timings and	l applicability of NAV shall be a	pplicable in respect of valid		
(after the scheme	applications received at the Of	fficial Point(s) of Acceptance on	a Business Day:		
opens for	A] For Purchase (including swi		•		
repurchase and		ons received upto 3.00 p.m. a	nd where the funds for the		
sale)		r utilization before the cut-off t			
		re the cut-off time - the closin			
		e the cut-on time - the closii	ig NAV of the day shall be		
	applicable.				
		ons received after 3.00 p.m. a			
		the bank account of the Schen			
	-	ff time of the next Business Day			
	before the cut-off time of the r	next Business Day - the closing N	IAV of the next Business Day		
	shall be applicable.				
	 Irrespective of the time of re 	ceipt of application, where the	funds for the entire amount		
	are credited to the bank accou	nt of the Scheme before the cut	-off time on any subsequent		
	Business Day i.e. available for	or utilization before the cut-o	ff time on any subsequent		
	=	of such subsequent Business I			
		nt: For determining the applica			
	be ensured:	and a processing one approximation			
		eceived before the applicable c	utoff time		
		of subscription/purchase as po			
		of the Scheme before the cut-of			
		tilization before the cut-off tim			
		ons from one scheme to another			
			er, the anocation shall be in		
	line with redemption payouts.				
	CIRodometions including Swit	tale auto.			
	C]Redemptions including Switch - outs:				
	• In respect of valid applications received up to 3.00 p.m. – same day's closing NAV shall be				
	applicable.				
	In respect of valid applications received after 3.00 p.m the closing NAV of the next				
	Business Day shall be applicable. • With respect to investors who transact through the stock exchange, a confirmation slip				
	•		•		
		echanism shall be considered fo			
	Applicable NAV for the Scheme	e and cut off timing for the trans	sactions.		
	• •	rough systematic investment	•		
	, , ,	natic Transfer Plans (STP), etc. t	·		
	=	n which the funds are available			
	Scheme irrespective of the inst	tallment date of the SIP, STP or	record date of dividend etc.		
	Purchase	Additional Purchase	Redemption		
Minimum	Rs. 5,000 and in multiples of	Rs.1,000 and in multiples of	There will be no minimum		
Application	Re. 1/- thereafter	Re. 1/- thereafter	redemption criterion*.		
Amount/ Number					
of Units	Unit holder's account of the Plan(s) / Option(s) of the Scheme (subject to release of pledge				
	/ lien or other encumbrances		(subject to Telegon of PicaBe		
		,			
Despatch of	Redemption: Within three working days of the receipt of the redemption request at the				
Redemption	authorised centre of the quant Mutual Fund.				
Request					
Benchmark Index		(TDI)			
•					
	_	ns Index (TRI).			

Dividend Deliev				
Dividend Policy	The Trustee may decide and declare dividend at such rates, as it deems fit, subject to availability of distributable surplus (based on realised profits), from time to time.			
	Mr. Sameer Kate – Fund Manager			
Name of the Fund Managers	Mr. Yug Tibrewal- Fund Manager			
anagers	Mr. Sanjeev Sharma – Fund Manager			
Name of the Trustee Company	quant Capital Trustee Limited			
Performance of the scheme	This Scheme is a new scheme and hence does not have any perform	mance track record.		
Additional Scheme	This Scheme is a new scheme and hence the Additional scheme re	lated disclosures section		
Related	are not applicable.			
Disclosures				
	 i. Scheme's portfolio holdings - Top 10 holdings by issuer ar towards various sectors. 	nd fund allocation		
	ii. Disclosure of name and exposure to Top 7 issuers, stocks,	groups and sectors as		
	a percentage of NAV of the scheme.			
	iii. Functional website link for Portfolio Disclosure –			
	a. For Monthly Portfolio			
	b. For Half yearly Portfolio iv. Portfolio Turnover Rate.			
	iv. Fortiono furnover flate.			
Expenses of the Scheme	New Fund Offer Period: All NFO expenses will be borne only by the AMC and not charged to the scheme			
Load Structure	Continuous Offer:			
	Exit Load : 0.25% if redeemed/switched out on or before completion of 1 month from the date of allotment of units			
Recurring	These expanses include Investment Management and Advisory Fee sharged by the ANG			
expenses	These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:			
	The AMC has estimated that upto 2.25 % of the daily net ass	ets of the Scheme will		
	be charged as expenses.			
	For the actual current expenses being charged, the investor should refer to the website			
	of the AMC <u>www.quantmutual.com</u> . Expense Head % of daily Net Assets			
	Expense Head Investment Management and Advisory fees	70 Of daily Net Assets		
	Trustee fees			
	Audit fees			
	Custodian fees			
	RTA fees Upto 2.25%			
	Marketing & Selling expense incl. agent commission			
	Cost related to investor communications			
	Cost of fund transfer from location to location			
	Cost of providing account statements and IDCW			

Costs of Statutory Advertisements	
Cost towards investor education & awareness (at least 2 bps)	
Brokerage & transaction cost over and above 12 bps and 5	
bps for cash and derivative market trades resp.	
Goods & Service Tax (GST) on expenses other than	
investment and advisory fees	
GST on brokerage and transaction cost	
Other Expenses*	
Maximum total expense ratio (TER) permissible under	
Regulation 52(6)(c)	
Additional expenses under regulation 52(6A)(c)	Upto 0.05%
Additional expenses for gross new inflows from specified	
cities under regulation 52(6A)(b)	Upto 0.30%

^{*}Any other expenses which are directly attributable to the Scheme, may be charged with the approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.

All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in Regular Plan.

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ charged under Direct Plan.

The expenses towards Investment Management and Advisory Fees under Regulation 52 (2) and the various sub-heads of recurring expenses mentioned under Regulation 52 (4) of SEBI (MF) Regulations can be apportioned under various expense heads/ sub heads without any sub limit, as permitted under the applicable regulations. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52 (2) and (4) respectively.

Further, the additional expenses under Regulation 52(6A)(c) may be incurred either towards investment & advisory fees and/or towards other expense heads as stated above.

These estimates have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se or in total subject to prevailing Regulations.

The recurring expenses of the Scheme (including the Investment Management and Advisory Fees) shall be as per the limits prescribed under the Regulation 52(6) of SEBI (MF) Regulations. These are as follows:

Assets under management Slab (In Rs. crore)	Total expense ratio limits
On the first Rs. 500 crores of the daily net assets	2.25%
On the next Rs. 250 crores of the daily net assets	2.00%
On the next Rs. 1250 crores of the daily net	1.75%
On the next Rs. 3000 crores of the daily net	1.60%
On the next Rs. 5000 crores of the daily net	1.50%

	On the next Rs. 40,000	crores of the daily net	Total expense ratio reduction of	
	assets		0.05% for every increase of Rs. 5,000	
			crores of daily net assets or part	
	On the balance of the	assets	1.05%	
	The total expenses of the Scheme(s) including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations and amendments thereto.			
			Mutual Fund Schemes' section on Total Expense Ratio (TER) details.	
	Actual Expense for the applicable.	previous financial year:	This is a new scheme and hence not	
	per Regulation 52 of th	o ,	be charged to the Scheme would be as 1996. Investors are requested to read the SID.	
Tax treatment for the Investors (Unitholders)	Investors are advised to also independently refer		Statement of Additional Information and	
Daily Net Asset Value (NAV) Publication	=	d before 11.00 pm on and AMFI website: <u>www.</u>	all business days on AMC website: .amfiindia.com	
For Investor	Name	quant Mutual Fund		
Grievances please		6th Floor, Sea Breeze Br	uilding,	
contact	Administrative	Appasaheb Marathe Ma	arg,	
contact	Office Address &	Prabhadevi, Mumbai - 4	100 025.	
	Contact	Tel.: +91 22 6295 5000		
		Website: www.quantm		
		KFin Technologies Limit	ed	
	Name and address	Unit: quant Mutual Fun		
	of Registrar	Karvy Selenium Tower E	3,	
		Plot 31-32, Gachibowli,		
		Financial District,		
		Contact No.: 040-6716	gampally, Hyderabad - 500032	
	Accounts Statements	Contact No.: 040 07 10 7		
Unitholders'		annlication for subscription	on an allatin ant confirmation chacifuing	
Information	the number of units the date of receipt of	allotted by way of e-mail a	on, an allotment confirmation specifying and/or SMS within 5 business days from tment will be sent to the Unit Holders er.	
	the account stateme		aterialized mode, the Fund will not send e statement provided by the Depository atement.	
	 For those unit holde account statement b 		e-mail address, the AMC will send the	

- Unit holders will be required to download and print the documents after receiving e-mail from the Mutual Fund. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly advise the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.
- The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/Registrar. In case of specific request received from the Unit Holders, the AMC/Fund will provide the Account Statement to the Investors within 5 business days from the receipt of such request.

Consolidated Account Statement (CAS)

CAS is an account statement detailing all the transactions and holding at the end of the month including transaction charges paid to the distributor, across all schemes of all mutual funds. CAS issued to investors shall also provide the total purchase value/cost of investment in each scheme.

Further, CAS issued for the half-year (September/ March) shall also provide

- a. The amount of actual commission paid by AMC/Mutual Fund to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each scheme.
- b. The Scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and Other expenses for the period for each scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in. The word transaction will include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan and systematic transfer plan.

For Unitholders not holding Demat Account:

CAS for each calendar month shall be issued, on or before tenth day of succeeding month by the AMC.

The AMC shall ensure that a CAS for every half yearly (September/ March) is issued, on or before tenth day of succeeding month, detailing holding at the end of the six month, across all schemes of all mutual funds, to all such investors in whose folios no transaction has taken place during that period.

The AMC shall identify common investors across fund houses by their Permanent Account Number (PAN) for the purposes of sending CAS. In the event the account has more than one registered holder, the first named Unit Holder shall receive the Account Statement.

The AMC will send statement of accounts by e-mail where the Investor has provided the e-mail id. Additionally, the AMC may at its discretion send Account Statements individually to the investors.

For Unitholders holding Demat Account:

SEBI vide its circular no. CIR/MRD/DP/31/2014 dated November 12, 2014 read with other applicable circulars issued by SEBI from time to time, to enable a single consolidated view of all the investments of an investor in Mutual Fund and securities held in demat form with Depositories, has required Depositories to generate and dispatch a single CAS for investors having mutual fund investments and holding demat accounts.

In view of the aforesaid requirement, for investors who hold demat account, for transactions in the schemes of quant Mutual Fund, a CAS, based on PAN of the holders, will

be sent by Depositories to investors holding demat account, for each calendar month within 15th day of the succeeding month to the investors in whose folios transactions have taken place during that month. within twelve(12)days from the month end that have opted for delivery via electronic mode and to investors that have opted for delivery via physical mode, within fifteen (15) days from the month end.

CAS will be sent by Depositories every half yearly (September/March), on or before 21st day of succeeding month, detailing holding at the end of the six month, to all such investors in whose folios and demat accounts there have been no transactions during that period.

CAS sent by Depositories is a statement containing details relating to all financial transactions made by an investor across all mutual funds viz. purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan (including transaction charges paid to the distributor) and transaction in dematerialized securities across demat accounts of the investors and holding at the end of the month.

In case of demat accounts with nil balance and no transactions in securities and in mutual fund folios, the depository shall send account statement in terms of regulations applicable to the depositories. Investors whose folio(s)/ demat account(s) are not updated with PAN shall not receive CAS.

Consolidation of account statement is done on the basis of PAN. Investors are therefore requested to ensure that their folio(s)/ demat account(s) are updated with PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

For Unit Holders who have provided an e-mail address to the Mutual Fund or in KYC records, the CAS is sent by e-mail. However, where an investor does not wish to receive CAS through email, option is given to the investor to receive the CAS in physical form at the address registered in the Depository system.

Investors who do not wish to receive CAS sent by depositories have an option to indicate their negative consent. Such investors may contact the depositories to opt out. Investors who do not hold demat account continue to receive CAS sent by RTA/AMC, based on the PAN, covering transactions across all mutual funds as per the current practice.

In case an investor has multiple accounts across two depositories; the depository with whom the account has been opened earlier will be the default depository.

The dispatches of CAS by the depositories constitute compliance by the AMC/ the Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations. However, the AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

Investors whose folio(s)/demat account(s) are not updated with PAN shall not receive CAS. Investors are therefore requested to ensure that their folio(s)/demat account(s) are updated with PAN.

For folios not included in the CAS (due to non-availability of PAN), the AMC shall issue monthly account statement to such Unit holder(s), for any financial transaction undertaken during the month on or before 15th of succeeding month by mail or email.

For folios not eligible to receive CAS (due to non-availability of PAN), the AMC shall issue an account statement detailing holding across all schemes at the end of every six months (i.e. September/March), on or before 21st day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period shall be sent by mail/e-mail.

Option to hold units in dematerialised (demat) form

Investors shall have an option to receive allotment of Mutual Fund units in their demat

account while subscribing to the Scheme in terms of the guidelines/ procedural requirements as laid by the Depositories (NSDL/CDSL) from time to time.

Investors desirous of having the Units of the Scheme in dematerialized form should contact the ISCs of the AMC/Registrar.

Where units are held by investor in dematerialized form, the demat statement issued by the Depository Participant would be deemed adequate compliance with the requirements in respect of dispatch of statements of account.

In case investors desire to convert their existing physical units (represented by statement of account) into dematerialized form or vice versa, the request for conversion of units held in physical form into Demat (electronic) form or vice versa should be submitted alongwith a Demat/Remat Request Form to their Depository Participants. In case the units are desired to be held by investor in dematerialized form, the KYC performed by Depository Participant shall be considered compliance of the applicable SEBI norms.

Further, demat option shall also be available for SIP transactions. Units will be allotted based on the applicable NAV as per Scheme Information Document and will be credited to investors Demat Account on weekly basis on realization of funds.

Units held in Demat form are freely transferable in accordance with the provisions of SEBI (Depositories and Participants) Regulations, as may be amended from time to time. Transfer can be made only in favour of transferees who are capable of holding units and having a Demat Account. The delivery instructions for transfer of units will have to be lodged with the Depository Participant in requisite form as may be required from time to time and transfer will be affected in accordance with such rules / regulations as may be in force governing transfer of securities in dematerialized mode.

For details, Investors may contact any of the Investor Service Centres of the AMC.

Portfolio Disclosure

- 1. AMC shall disclose portfolio (along with ISIN) as on the last day of the month and half-year (i.e. 31st March and on 30th September) for the Scheme on its website and on the website of AMFI within 10 days from the close of each month/ half-year respectively.
- 2. AMC shall send the monthly and half-yearly statement of scheme portfolio via email to those unitholders whose email addresses are registered with AMC/Mutual Fund within 10 days from the close of each month and half-year respectively. The unit holders are requested to ensure that their email address is registered with AMC/Mutual Fund.
- 3. AMC shall publish an advertisement, in all the India edition of at least two daily newspapers, one each in English and Hindi, every half-year disclosing the hosting of the half-yearly statement of its schemes portfolio on its website and on the website of AMFI and the modes such as telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the half-yearly statement of its schemes portfolio.
- 4. Further, AMC shall provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unitholder.
- 5. Unitholders' can obtain the scheme's latest portfolio holding in a user-friendly and downloadable spreadsheet format at the following link https://quantmutual.com/downloads/factsheet.

Half Yearly Unaudited Financial Results Disclosure:

AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.quantmutual.com). Further, the AMC shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in atleast one national English daily newspaper and a regional newspaper published in the language of the

region where the Head Office of the Mutual Fund is situated.

Necessary link for the Half Yearly Unaudited Financial Results Disclosure shall also be provided on the AMFI website (www.amfiindia.com).

Annual Report or abridged summary thereof:

Para 5.4 of SEBI Master Circular dated June 27, 2024, shall be complied with in order to bring cost effectiveness in disclosing and providing information to unitholders and as a green initiative measure.

For more details, Investors are requested to refer the Scheme Information Document (SID).

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.



quant mutual

Corporate Office: 6th Floor, Sea Breeze Building, Appasaheb Marathe Marg, Prabhadevi, Mumbai - 400 025. | Tel: +91 22 6295 5000 Whatsapp me

	Whatsapp message	: +91 9920 21 22 23 E	E-mail: help.investor@qı	uant.in help.distributor@quai	nt.in www.quantmutual.com
	COMMONAPPLICATION FORM				
(Use this form if One Time Bank Mandate Form is registered in the folio) To be filled in capital letters and in blue / black ink only. APP No.					
		'			

Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.			
Jpfront commission shall be paid directly by th I/We hereby confirm that the EUIN box has above distributor/sub broker or notwithstandi	been intentionally left blank by me	us as this transaction is ex	xecuted without any interac	tion or advice by the employee/rela	ationship manager/sales person of the			
	7,77	,,,	r,g-					
Signature of 1 st Applicant / Guardi Authorised Signatory /PoA/Kar		Signature of 2 nd Applicar Authorised Signate			o rd Applicant / Guardian / sed Signatory /PoA			
Please ✓ Lumpsum Investment		Micro Applicat	ion 🔾	SIPA	Application O			
. EXISTING UNIT HOLDER INFORMA	TION [Please fill in your Fo	lio Number, KIN, Sec	tion 2 & proceed to S	ection 7 - Investment Deta	ils]			
Folio No.	Ор	tional CKYC Identific	cation No. (KIN)					
1st SOLE APPLICANT Mr. / Ms. /M/s. Please write the name as per PAN Card)				PAN				
LEI Code for entities								
CKYC ID No. (KIN)			Pls indi		for tax purpose / Resident of Canada No⁵ (\$Default if not √)			
GUARDIAN (In case 1 Applicant is a Mi	,				ip with Minor (Please √) ○ Father ○ Legal Guardiar			
GUARDIAN CKYC ID No. (KIN)	IW/S.		KYC (Please ✓) ○ Proof Attached	GUARDIAN PAN	Father C Legal Guardian			
GUARDIAN AADHAAR No.				Aadhaar Copy (Plea	se ✓) ○ Enclosed			
POA / Custodian Name:				KY	C (Please ✓) ○ Proof Attached			
POA / Custodian CKYC ID No. (KIN)			P	DA / Custodian PAN				
FIRST APPLICANT AND KYC DETA SOLE APPLICANT O Individual or	AILS	ividual Investors shou		·				
Thate of Birth/Incorporation (Non-individual) Please write the Date of birth as per Aadhaar Cal		of of Date of Birth(Plea (For minor applicant)	150)		School Leaving Certificate / Mark Sheet Others (Please specify)			
Place of Birth / ncorporation: Please write the Date of birth as per Aadhaar Cal	rd) Country of Birth / Incorporation: O	Nationalit	ty: O Indian	Gender	○ Male ○ Female ○ Other			
Γγρe: ○ Resident Individual ○ Sole F	Prop O NRI - NRE O Tr	ust	○ FIIs ○ PIO (Society/AOP/BOI	or through Guardian NRI - NRC			
─────────────────────────────────────	rivate Company O Public Ltd. Co	ompany O Artificial Jurio	dicial Person	ship Firm O FOF - MF Schemes	s Others			
a*. Occupation Details [Please tick (✔)]	Private Sector Business	Public Sector Retired	Government Servi Agriculture	O D	Professional Housewi			
s*. Politically Exposed Person (PEP) Status	(Also applicable for authorised	signatories/Promoters/Ka	arta/Trustee/Whole time [Directors) O I am PEP O I a	m Related to PEP O Not Applicab			
o*. Gross Annual Income (₹) [Please tic	ck (✓)] ○ Below 1 Lakh	O 1-5 Lakh	O 5-10 Lakh	O 10-25 Lakh	○ >25 Lakh ○ > 1 Crore			
d*. Net-worth (Mandatory for Non-Indiv	iduals) ₹		as on		(Not older than 1 year			
e*. Non-Individual Investors involved/p any of the mentioned services	•	xchange / Money Cha ending / Pawning	anger Services	Gaming/Gambling/Lottery/ None of the above	Casino Services			
4. BANK ACCOUNT DETAILS - Manda	atory [Refer Instruction Nos.	. 3 & 4]						
Core Banking A/c No.			A/c. Type	Pls. (✓) ONRE OCU	JRRENT O SAVINGS O NRO			
Branch Name: Bank	Add	dress:						
Branch City:	Sta	te:		Pin Co	ode			
MICR Code		h a cancelled cheque	IFSC Code (Manda	atory for				

	LICANTS, IF ANY A			O Circ	1-		O laint		/DI						
Mode of Holding	g: Anyone or	Survivor		O Sing	ie		O Joint		(Plea	se note th	nat the L	Default opti	on is Ar	iyone or	Survivor)
2 nd APPLICANT	Mr. / Ms. / M/s. (N	ot Applicable in	case of Mino	or Applican	t)						Gen	ider () Ma	ale 🔾	Female	Other
PAN Details	line do per i 7 i i odia)			F	Pls indicat	e if US P	erson or a res	ident for tax	purpose / R	tesident of	Canada	O Yes	○ No³	(*Defau	ılt if not √)
CKYC ID No. (K	IN)						KYC Pls 🕠	/ O Pro	oof Attached		of Birth er PAN Ca	h (Mandatory	η)		
Place of Birth / Incorporation: (Please write the Da	te of birth as per Aadhaa	Incor	try of Birth / poration:	/ Othe		Nation	nality: O Inc	dian			Gende		e ()	emale	Other
a*. Occupation	Details [Please tick	(√)]	O Private S			ic Secto		ernment	_	Student		O Profe		0	Housewife
-	al Income (₹) [Please	` '- (BusinessBelow 1		Retir		Servi5-10	ice Agricultu Lakh	_	Propriet 10-25 La	-	Othe >25 L			>1 Crore
(√)] c*. Political	y Exposed Person (Pl	EP) Status (○ I am PEP		I am Relat		_			10 20 2.	ani i	O >25 L	anii	0,	-1 Clole
Net-worth ₹				a	s on _				(Not	older thai	n 1 year	.)			
3 rd APPLICANT		ot Applicable in	case of Minor	r Applican							Gen	ider (Ma	ale 🔾	Female	Other
PAN Details	me as per PAN Card)			ſ	Pls indicat	e if US P	erson or a res	ident for tax	purpose / R	esident of	Canada	O Yes	O No	* (*Defa	ult if not ✓
CKYC ID No. (K	IN)						KYC Pls 🕠	/ O Pro	of Attached		of Birth er PAN Ca	h (Mandato ard)	ry)		
Place of Birth / Incorporation: (Please write the Da	te of birth as per Aadhaa	Incor	try of Birth / poration:	/ Olndia		Nation	nality: O Inc	dian			Gende	er () Mal	e ()	Female	Other
a*. Occupation	Details [Please tick	(✓)]	O Private S		_	ic Secto			_	Student		O Profe		0	Housewi
b*. Gross Annua	al Income (₹) [Please		BusinessBelow 1		Retir		○ 5-10	ice Agricultu Lakh	л е ()	Propriet 10-25 La		Othe		0	>1 Crore
c*. Politically Exp	osed Person (PEP) St		○ I am PEP	_	I am Relat		_					0 7201	ann		- I Oloic
d. Net-worth ₹_					_ as on				(No	ot older th	an 1 yea	ar)			
6a. MAILING	ADDRESS [Please p	provide your l	E-mail ID ar	nd Mobil	e Numbe	er to hel	p us serve	you better	Refer Inst	ructions	6]				
Local Address of	1 st Applicant														
				City			s	tate			Pin	Code			
Tel. Off.															
		0 15 5 11				esi.			Мс	bile					
	ed above belongs to □ Guardian(for Minor I		due to Investo		ease tick	any one o	option from be	,	Mo □ Depend		gs				
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☐ Spouse ☐ E - Mail^^ ^^Please Use Block		nvestment) pviding email ID	□ Depend	lent Childr	ease tick a	any one o	pendent Pare	ents	□ Depend	lent Siblin		t through e-r	nail only	.Incase if	physical
E - Mail^^ ^Please Use Bloc copies are required Email address spe	Guardian(for Minor land) kk Letters. Investors producified above belongs to	oviding email ID no. 16.	Depend	dent Childrent Child	ease tick aren	any one o	tions, Statement	ents ent of Accour	☐ Depend	lent Siblin	al Repor	t through e-r	nail only	.Incase if	physical
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10. FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual Investors should mandatorily fill separate FATCA, CRS & UBO details form

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below

1st Applicant (Sole / Guardian / Non-Individual)						oplicant		3 rd Applicant				
Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency Yes No		Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		○ Yes ○ No		Do you have any non-Indiar Country(ies) of Birth / Citizenship / Nationality and Tax Residency		Yes O No				
	Country of Birth / Incorporation		Country of Birth				Country of Birth					
	Country Citizenship / Nationality		Country Citizenship / Nationality				Country Citizenship / Nationality					
	Are you a US specified Please provide Tax Payer Id.		Are you a US specified person?		○ Yes Please provide	O No Payer Id.	Are you a US specific person?	fied	Yes No Please provide Tax Payer Id.			
	al or Non-Ind Yes above.	lividual i	nvestors fill this	section	Individual investo	r have to	fill in below detail	ls in case of join	t applicants			
		Country	y:			Countr	y:			Country:		
Tax Res Status:		No.:			Tax Residency Status: 1	No.:			Tax Residency Status: 1	No.:		
		Type:		_	Type:				Туре:			
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	_	Type:				Type:				Туре:		
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	• •			•	form to provide the abo			ctions 21				
rules and regula other applicable furnish additiona with the regulat to me/us all the communicated We have read, u (I) Applicable tapplicable laws investments in that I / We have undertake to product the product of t	ations governing the e laws enacted by the al information sough tory and government e commissions (in d any indicati (in or and regulations. (J) the Scheme(s). (K) e read and understo serves the right to re ovide any other addit	scheme. (B) e Governmen t by quant Mo authorities as the form of t tfolio and/ oi l be bound by t's Residing l I / We conf) FATCA /CR ject the applicational informa	I/We hereby declare that to findia from time to time oney Managers Ltd./Fund s and when needed. I/We rail commission or any or any indicative yield by the terms & conditions of in India: I/We confirm the time that I am / We are n S. Certification: I/We hark & CRS Terms and Concation or reverse the allotr	the amount invested in the (C) Signature of the nom and undertake to update will indemnify the Fund, A ther mode), payable to he Fund/AMC/fits distrib the PIN agreement availa at ItWe satisfy the Resid of United States person or understood the informa itions and hereby accept nent of units, if subsequer your end. (L) Adahaar: If	he scheme is through legitimate so ninee acknowledging receipts of m the information/details with the Al AMC, Trustee, RTA and other inten him for the different competing outor for this investment. I/We ha able on the AMC website for transa elency test as prescribed under FET (15) under the laws of United St. tation requirements of this Form (res the same. In case the above info ntly it is found that applicant has to We hereby voluntarily submit Aadi We	urces only and y/our credit will Mc / Fund/Reg mediaries in ca Schemes of va- varies of va- varies of varies on care varies of varies of varies of varies of MA provisions. ates or reside and along with the remation is not oncealed the fa-	does not involve and is no constitute full discharge of strars and Transfer Agent (se of any dispute regarding rious Mutual Funds from In or have been induced by RIA: I/We hereby agree to I/We further declare that I/nt(s) of Canada. In case the FATCA & CRS Instruction provided, it will be presuments of beneficial ownership constitutions.	at designed for the purpose idabilities of quant Mutual (RTA) from time to time. In the eligibility, validity an amongst which the Sch amy rebate or gifts, direct o consent the AMC to sha We am/are "Person Resi of change to this status ns) and hereby confirm the dt that applicant is the ui I. IWe also undertake to !	se of the contravention of any prox Fund. (D) The information given in I/We hereby confirm that the AMC/ d authorization of mylour transactic teme is being recommended to titly or indirectly in making this invest re my transaction details to the reg dent in India" and are allowed to in s, I / We shall notify the AMC, in that the information provided by me litmate beneficial owner, with no d	risions of the Ir / with this app Fund shall hav ons. (E) I/We fi me/us. (F) I/We stitered investra notes invo the S notes which event / us on this Foleclaration to s	scheme and agree to abide by the terms, conditions noome Tax Act, Anti Money Laundering Laws or an ilication form is true and correct and further agrees to the right to share my information and other details urther declare that "The ARN holder has discloser the hereby confirm that IWh have not been offered plicable to Investors availing the online facility: I ment advisor (RIA) through the registrar or otherses Scheme as per the said FEMA regulations and othe the AMC reserves the right to redeem my / our is true, correct, and complete. I / We also confirm ubmit. In such case, the concerned SEBI registered modification to the above information in future & also	
Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta						Signature of 2 rd Applicant / Guardian / Authorised Signatory /PoA			Signature of 3 ^{et} Applicant / Guardian / Authorised Signatory /PoA			
d R	Received Ap	plicatio	on from Mr. / Ms	s. / M/s.							For O Lumpsum 'OR' O SIF	
KNOWLEDGMENT SLIP	- T	-	me Name an			Payment Details			Date & S	tamp of	f Collection Centre / ISC	
EDGN					Amount (R	(s.)						
JWC						טט No.: _						
V V					Dated							

INSTRUCTIONS

Please read the Kev Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read. understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment. Applications incomplete in any respect (other than mentioned above) will be liable to be rejected

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (IsC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

Applicant Information

- Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). Alocal address if available should also be mentioned in the CAF.
- Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported
- Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

(d) KYC Requirements and Details:

Implementation of Central KYC (CKYC):

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form.

Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

- (i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRAsystem will be required to fill the new CKYC form while investing with the Fund.

 (ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the
- new CKYC form or provide the missing/additional information using the Supplementary CKYC form.
- (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory.

Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website.

Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across.

- Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to help.distributor@quant.in for distributors and help.investor@quant.in for investor
- (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF.

(ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POAdocument should contain the signature of both the applicant and the constituted Attorney.

(iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOAand AOAor Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time.

Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel.

The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated.

Any COB accompanied with any other transaction is liable to be rejected.

If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/IDCW payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/IDCW proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

to ensure validation of new bank mandate mentioned.

Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

Acceptance of financial transactions through e-mail in respect of Non-Individual Investors No change in /addition to the bank mandate shall be allowed via email. Change in bank details or addition of bank account of the entity(Non Individual Investor) shall be permitted only via the prescribed service request form duly signed by the entity's authorized signatories with wet signature of the designated authorized officials. Further, in case the document is executed electronically with a valid DSC or through Aadhaar based e-signatures of the authorized officials, shall be considered valid, and the same shall be binding on the non-individual investor even if the same is not received from the registered email id of authorized officials. However, the domain name of the email ID through which such email is received should be the same as the non-individual investor's official domain name. No change in the bank details or addition of bank account of the entity (non-individual investor) or any non-financial transaction shall be allowed accepted via mail

Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility", to receive redemption/IDCM proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be confirmed under the felic details of which will be registered under the folio.

For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel.

If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

Direct Credit of Redemption/IDCW Proceeds:

AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local quant Mutual Fund (qMF)/AMC office or Authorised Collection Centre(s).
- Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of qMF are not located, are requested to make payment by demand drafts payable at the Centre

where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of qMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges.

- In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

 For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a nostifion to repatriate redemotion proceeds. a position to repatriate redemption proceeds.
- Applicants should indicate the Option (IDCW/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker/Agent Code".

- Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following cases:
- Payment by the AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through lump sum I one-time subscription.

 Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on
- account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through lump sum/onetime subscription.
- · Custodian on behalf of an FII or a Client
- Options Available: IDCW and Growth Default Option: Growth; and under IDCW Option, Default option: IDCW Reinvestment. Investors may please note, that IDCW may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations.

IDCW Reinvestment can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

For acceptance of financial transactions in respect of non-individual investors received

For acceptance of financial transactions in respect of non-individual investors received through email:

(1) Obtain from the entity a copy of the board resolution or an authority letter on the entity's letter head, granting appropriate authority to the designated officials of the entity.

The board resolution/ authority letter shall explicitly mention the following:

(i) List of approved authorized officials who are authorized to transact on behalf of non-individual investors along with their designation and email IDs.

(ii) An undertaking that the instructions for any financial transactions sent by email by the authorized officials shall be binding upon the entity as if it were a written agreement.

2) In case the document is executed electronically with a valid Digital Signature Certificate (DSC) or through Aadhaar based e-signature by the authorized difcially, the same shall be considered as valid and acceptable, and shall be binding on the non-individual investor even if the transaction request is not received from the registered email id. of the authorized officials. However, in such cases, the domain name of the email ID should be from the same organization's official domain name.

domain name.

3) In addition to the acceptance of financial transactions via email, scanned copy of duly signed transaction form/request letter bearing wet signatures of the authorized signatories of the entity, received from some other official / employee of the non-individual investor may also be accepted, and shall be binding on the non-individual investor provided —

(i) The email is also CC'd (copied) to the registered email ID of the authorized official / signatory of the non-individual

unitholder; and

(ii) the domain name of the email ID of the sender of the email is from the same organization's official domain name

(ii) the domain name of the email ID of the sender of the email is from the same organization's official domain name.
4) In addition to the acceptance of financial transactions via email,
(a) scanned copies of signed transaction form/request letter bearing wet signatures of the authorized signatories of the entity, received from the registered mutual fund distributor of the entity or a third party duly authorized by the non-individual unitholder may also be accepted subject to fulfilment of the following requirements:
(i) Authorization letter from the non-individual unitholder authorizing the MFD/person to send the scanned copies of signed transaction form/request letter on behalf the non-individual investor.
(ii) In such cases, the non-individual unitholder's registered email ID shall also be copied in the email sent by the MFD/person sending the scanned copies of the duly signed transaction form/request letter.

Communication:

The investor whose transaction has been accepted by the qMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered email address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
- In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)]
- Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.
- Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode.

The word 'transaction' shall include purchase, redemption, switch, IDCW (payout and reinvestment) SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions.

CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by qMF for each calendar month on or before 10th of the immediately succeeding month.

In case of a specific request received from the Unit holders, qMF will provide the account statement to the investors within 5 Business Days from the receipt of such request.

Annual Reports or other information etc. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail.

Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

Nomination Details:

A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/application form and / or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, turnishing proof of guardianship if the nominee is a minor, and such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder?

Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office.

However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate.

A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee.

The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

Investors should note the following instructions for ensuring that the application is treated as a direct application

- 1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.
- Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

10. Employee Unique Identification Number (EUIN):

Employee Unique Identification Number (EUIN):
In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/
relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund
products) of mutual fund products to quote the EUIN (for non-advisory transactions (execution only) & advisory
transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six
numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application
Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is corety filled up in the
Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction.
However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship
manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed
by the investor, as mentioned on the top of the application form(s).

11. Units in Demat mode:

Units of QMF can be held by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the NSDL / Dear and the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.

The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreian Account Tax

not be able to trade on the stock exchange till the holdings are converted in to demat form.

12. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly. AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority. The Authority. Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request. The indica's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia: Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residency / mailing address / Vola address in the US (4) Having US telephone for incorporation (5) Having Los (1) Having US telephone number (3) Having any contained in USA (5) Being POA holder based out of US or having US residency citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residency / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

13. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/ institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value. of shares traded on the exchange).
- Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.
- c. Active NFE: (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date that of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultradi, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFEs country or territory of residence or the NFEs formation documents do not
	The applicable laws of the NEE's country of territory of residence of the NEE's formation accuments do not

Ihe applicable laws of the NFE's country or territory of residence or the NFE's formation documents do no permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (1) an Investor Protection Fund referred to in clause (23EA), (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.

d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGAJob Card.

e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) Acorporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (vi) Adealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) Acommon trust fund as defined in section 584(a). (x) Abank as defined in section 581. (xi) Abroker. (xii) Atrust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) Atax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: IDCW: Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annutities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in familiar assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

the IRS.

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity. The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company. The FFI does not maintain a financial account for any non participating Fi. The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

14. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar/ KRA, as may be applicable, about such changes. Please contact the nearest ISC of qMF or log on to our website www.quantmutual.com for the Declaration Form.

A Ultimate Beneficial Owner means:

I. For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the

where the juridical person is an unincorporated association or body of individuals. In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust

and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. **B Applicability for foreign investors**: The identification of beneficial ownership in case of Foreign Institutional Investors (Fils), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012

CIRMIRSD/11/2012 dated September 5, 2012.

C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is not incorporated association or body of individuals. UBO-4: Natural person exercise, ontrol over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exercise profits of the Trust [IBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust [IBO-8: The Protector(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

D. PAN and KYC of all the beneficiaries of UBO is mandatory to accept the transaction

15. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCAdeclaration form (available on www.quantmutual.com).

For acceptance of financial transactions in respect of non-individual investors routed through email:

(i) Any change in the registered email id/contact details shall be accepted only from the designated officials authorized to notify such changes vide board resolutions/authority letter. Further, such change request shall be submitted through physical request letter (or a scanned copy thereof with wet signature of the designated authorized officials) only. (ii) Any change in the registered email address/ contact details of the entity shall be accepted only through a physical letter (including scan copy thereof) with wet signature of the designated authorized officials of the entity, duly supported by copy of the board resolutions/authority letter on the entity's letter head.

(iii) Further, in case the document is executed electronically with a valid DSC or through Aadhaar based e-signatures of the authorized official/s, shall be considered valid, and the same shall be binding on the non-individual investor even if the same is not received from the registered email id of authorized officials. However, the domain name of the email ID through which such email is received should be the same as the non-individual investor's official domain name

16. GO GREEN INITIATIVE IN MUTUAL FUNDS

- With respect to the recent directives issued by SEBI via Gazette Notification SEBI/LAD-NRO/GN/2018/14 & Circular SEBI/HO/IMD/DF2/CIRIP/2018/92 regarding Go Green Initiatives in Mutual Funds regarding disclosing and providing information to investors through digital platform as a green initiative meausre.
 In line with above initiative, quant Mutual fund has adopted "Go Green Initiative for Mutual Funds" and accordingly, the scheme Annual Reports/Abridged Summary will be hosted on our website www.quantual.com. Further, wherever email ids are registered in our records, the scheme Annual Reports/ Abridged Summary will be sent via
- email.

 If you do not opt-in to receive a physical copy of the scheme Annual Report/ Abridged Summary, you can view the same on our website or alternatively contact our registered office to get a physical copy of the Annual Report/ Abridged Summary.
- 17. LEI (Legal Entity Identifier) Code: The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value \$\(\text{\text{0}} \) Corore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

18. Instruction for Nomination:

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio of a Minor unitholder.
- If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis). A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be
- provided

- E. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
- ANon-Resident Indian may be nominated subject to the applicable exchange control regulations.

 Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding up to a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees
- Every new nomination for a folio/account shall overwrite the existing nomination, if any. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio /
- Nomination shall stand rescinded upon the transfer of units.

account.

- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/sis/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
- Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

19. Terms and conditions for availing the facility to transact through electronic mail.

Risks Involved in Transacting via Email The investor acknowledges and accepts the inherent risks associated with conducting financial transactions via email. These risks include, but are not limited to, the possibility of unauthorized access to email communications, transmission delays, data loss, or alteration due to technical glitches or cyberattacks, which could impact the completeness or accuracy of the transaction. Additionally, emails may be susceptible to interception, unauthorized access, and other security vulnerabilities, which could lead to fraudulent transactions. Therefore, investors must be cautious while initiating financial transactions via email and should ensure the confidentiality and integrity of their communication.

ensure the continentiality and integrity of their communication.

Limitation of Liability of AMC / RTA. The Asset Management Company (AMC) and the Registrar and Transfer Agent (RTA) shall not be held liable for any loss or damage caused by the non-receipt or delay in receiving any transaction sent by the investor via email. This includes situations where emails are not delivered, are delayed, or are intercepted due to issues beyond the control of the AMC or RTA, including but not limited to, technical failures, service provider errors, or unauthorized access to the email account. The AMC and RTA will not be responsible for any transactions that are erroneously processed or not processed due to such issues. The liability of the AMC and RTA is limited solely to the extent of ensuring that the transaction is processed once received in the proper format and within a reasonable timeframe, subject to system availability and security checks.

Security Measures to Ensure Safe Email Communication The AMC and RTA are committed to ensuring the bighest level of security for email communications and shall implement appropriate safenuards. These highest level of security for email communications and shall implement appropriate safeguards. These measures include the use of encrypted email services, secure authentication protocols, and virus/malware scanning for all incoming and outgoing emails. Additionally, access to email accounts and transaction systems shall be restricted to authorized personnel only, and multi-factor authentication will be employed to verify the identity of the individuals initiating transactions. The AMC shall take all reasonable steps to prevent unauthorized access, disclosure, or alteration of the financial data transmitted via email.

Retention of Transaction Records The AMC and RTA will retain records of all transactions routed via email in accordance with applicable laws and regulations. These records will include, but are not limited to, transaction requests, email correspondence, and confirmation receipts, for a minimum period as mandated by regulatory authorities. The investor agrees that these records shall be stored in a secure digital format to ensure their integrity and availability for future reference. In addition, the AMC shall maintain an audit trail for each transaction, allowing for the traceability of emails and the status of each request submitted via email.

Procedure for Addition/Deletion of Authorized Signatories The facility to transact via email shall follow an appropriate procedure for the addition or deletion of authorized signatories. Such changes must be communicated to the AMC through a formal notification, in the form of a signed letter or email from the authorized representative of the entity, accompanied by the requisite board resolution or authority letter. The AMC shall process these changes only upon receipt of valid documentation confirming the updated list of authorized signatories. These changes will only be effective once the AMC has acknowledged receipt and validation of the notification.

Authorization for Non-Individual Investors For non-individual investors, including registered mutual fund distributors or third parties authorized by the investor, to submit financial transactions via email on behalf of the entity, the AMC and RTA require prior written authorization from the investor. This authorization should clearly state the scope of authority granted to the third party and must be submitted with each transaction request. The AMC will accept such transactions only if the relevant authorization documents are in place and the email corresponds with the pre-registered contact information for the entity or authorized third party.

the pre-registered contact information for the entity of authorized third party.

Security Procedures for Transaction Confirmation To confirm and authenticate email-based financial transactions, the AMC will employ a range of security procedures, including digital signatures, encrypted communication, and multi-step verification processes. These procedures are designed to verify that the transaction is genuinely authorized by the investor and ensure that the instructions have not been tampered with Upon receipt of an email transaction, the AMC will conduct thorough checks to confirm the authenticity of the request, including comparing it against the pre-registered information (email addresses, signatories, etc.). Only upon successful verification will the transaction be processed.

Electronic Time Stamping and Audit Trail for Email Transactions Each transaction processed via email shall be subject to an electronic time-stamping mechanism that records the exact time and date of receipt. This time stamp will serve as a reference point for any future inquiries or disputes regarding the transaction. Furthermore, AMC shall maintain an audit trail, tracking all actions related to the email transaction, including receipt, verification, and processing. The audit trail will provide transparency, ensure accountability, and facilitate the resolution of any issues related to email-based transactions.