


**KEY INFORMATION MEMORANDUM**

**quant Quantamental Fund  
(A Quant Fund)**

Continuous offer for Units at NAV based prices

<p>This product is suitable for investors who are seeking*:</p> <ul style="list-style-type: none"> <li>• Capital appreciation over long term</li> <li>• Investment in active portfolio of stocks screened, selected, weighed and rebalanced on the basis of a predefined fundamental factor model</li> </ul>	 <p>Investors understand that their principal will be at very high risk.</p>
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\*Investors should consult their financial advisors if in doubt about whether the product is suitable for them.

**Name of Mutual Fund** : **quant Mutual Fund**  
 Address : 6th Floor, Sea Breeze Building,  
 Appasaheb Marathe Marg,  
 Prabhadevi, Mumbai - 400 025.  
 Tel.: +91 22 6295 5000  
 Website: www.quantmutual.com

**Name of Asset Management Company** : **quant Money Managers Limited**  
**CIN** : **U74899MH1995PLC324387**  
 Address : 6th Floor, Sea Breeze Building,  
 Appasaheb Marathe Marg,  
 Prabhadevi, Mumbai - 400 025.  
 Tel.: +91 22 6295 5000  
 Website: www.quantmutual.com

**Name of Trustee Company** : **quant Capital Trustee Limited**  
**CIN** : **U74899MH1995PLC324388**  
 Address : 6th Floor, Sea Breeze Building,  
 Appasaheb Marathe Marg,  
 Prabhadevi, Mumbai - 400 025.  
 Tel.: +91 22 6295 5000  
 Website: www.quantmutual.com

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Offer Document available free of cost at any of the Investor Service Centres or distributors or from the website [www.quantmutual.com](http://www.quantmutual.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated **May 20, 2021**.

<p><b>Investment Objective</b></p>	<p>The investment objective of the Scheme is to deliver superior returns as compared to the underlying benchmark over the medium to long term through investing in equity and equity related securities. The portfolio of stocks will be selected, weighed and rebalanced using stock screeners, factor based scoring and an optimization formula which aims to enhance portfolio exposures to factors representing 'good investing principles' such as growth, value and quality within risk constraints.</p>														
<p><b>Asset Allocation Pattern of the scheme</b></p>	<table border="1" data-bbox="545 359 1427 611"> <thead> <tr> <th data-bbox="545 359 1027 453">Asset Class Allocation</th> <th data-bbox="1032 359 1219 453">Normal Allocation (% of net assets)</th> <th data-bbox="1224 359 1427 453">Risk Profile</th> </tr> </thead> <tbody> <tr> <td data-bbox="545 459 1027 512">Equity and equity related instruments</td> <td data-bbox="1032 459 1219 512">80-100</td> <td data-bbox="1224 459 1427 512">Medium to High</td> </tr> <tr> <td data-bbox="545 518 1027 560">Debt &amp; Money Market instruments</td> <td data-bbox="1032 518 1219 560">0-20</td> <td data-bbox="1224 518 1427 560">Low to Medium</td> </tr> <tr> <td data-bbox="545 567 1027 611">Units issued by REITs &amp; InvITs</td> <td data-bbox="1032 567 1219 611">0-5</td> <td data-bbox="1224 567 1427 611">Medium to High</td> </tr> </tbody> </table> <p data-bbox="545 653 1427 716">The Scheme retains the flexibility to invest across all the securities in the debt and money markets as permitted by SEBI / RBI from time to time, including schemes of mutual funds.</p> <p data-bbox="545 730 1427 821">The Scheme may seek investment opportunities in foreign securities including ADRs / GDRs / Foreign equity and debt securities subject to the Regulations. Such investment shall not exceed 20% of the net assets of the Scheme.</p> <p data-bbox="545 835 1427 1037">The Scheme intends to initially invest US \$ 50 million in overseas securities subject to maximum limit specified in SEBI circulars. The above limits will be valid for six months from the closure of the New Fund Offer. Thereafter, the unutilized limit, if any, shall not be available to the Scheme for investment in overseas securities and shall be available towards the unutilized industry wide limits. Further, after 6 months of closure of New fund offer shall the norms of ongoing scheme as specified in the SEBI circulars shall be followed. The above limits will be subject to changes, if any, specified by SEBI from time to time.</p> <p data-bbox="545 1066 1427 1178">Limits post completion of a period of six months from the date of closure of NFO (ongoing): An investment headroom of 20% of the average AUM in overseas securities / overseas ETFs of the previous three calendar months would be available to the Mutual Fund for that month to invest in overseas securities / overseas ETFs subject to maximum limits specified in SEBI circulars.</p> <p data-bbox="545 1184 1427 1325"><b>Trading in Derivatives:</b> The scheme may take exposure to derivative instruments upto 100% of net assets. And, the scheme may use 100% of net assets exposure for hedging purpose and shall not exceed 50% of net assets for other than hedging purpose. Further, Investment in derivatives instruments may also use in the manner permitted by Regulations / guidelines issued by SEBI from time to time.</p> <p data-bbox="545 1354 716 1381"><b>Securitized debt</b></p> <p data-bbox="545 1388 1227 1415">Debt securities may include securitized debts up to 10% of the net assets.</p>			Asset Class Allocation	Normal Allocation (% of net assets)	Risk Profile	Equity and equity related instruments	80-100	Medium to High	Debt & Money Market instruments	0-20	Low to Medium	Units issued by REITs & InvITs	0-5	Medium to High
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<p><b>Investment Strategy of the Scheme</b></p>	<p>The underlying theme driving the relative allocation will be quant Money Managers Limited (qMML) research's 'quantamental' investment strategies. qMML believes that a quantitative approach to money management would yield optimal results when combined with the value of human judgement as rules or factors can behave differently when the entire market environment changes, such as our predictive analytics tools suggest. Thus, the quantamental approach seeks to find the harmony between objectivity and subjectivity.</p> <p>In order to provide the best possible returns and capital preservation, the quantamental approach goes beyond purely factor-based, smart beta or algorithmic strategies. We believe a rules-based mechanical approach needs to be combined with the value of years of human judgement and experience to yield 'adaptive alpha' - the outperformance generated by an ability to adapt investment rules/factors to novel market phases. Thus, we augment traditional quantitative and qualitative methods alongwith 'sentiments data' - a deep knowledge of market structure dynamics, micro level stock selection and inflexion point identification between bouts of greed and fear through analysis of the larger, ever-changing macro environment.</p> <p>Quantamental combines the innate human ability to adapt, adding to the alpha generated by discipline and identification of underlying factors - adaptive alpha, providing the edge needed to manage volatility and utilize periodic market imbalances to the portfolio's advantage.</p> <p>qMML may, from time to time, review and modify the Scheme's investment strategy if such changes are considered to be in the best interests of the unitholders and if market conditions warrant it. No assurance can be given that the fund manager will be able to identify or execute such strategies.</p> <p>The fund will invest in stocks from a universe of NIFTY 500 TRI selected on the basis of a Quant Model. Quantitative methods will be used for (i) screening mechanism to choose best picks and make the stock selection universe smaller, (ii) Deciding on the portfolio weightage for better return as the investment will focus on company's size and liquidity.</p> <p>The quantitative model which will be used for stock selection will be based on two broad parameters viz., Stock Price movement &amp; Financial/ valuation aspects. The model will use aspects like:</p> <ul style="list-style-type: none"> <li>• Stock Price related parameters – This would include stock specific aspects like relative strength, liquidity and volatility, Historic Performance (based on quarterly and annual relative and absolute price movement).</li> <li>• Financial/ Valuation parameters – This would include aspects based on a company's Balance sheet, cash flow statement &amp; profit &amp; loss account. The parameters are Sales growth (Historical), Earning before Interest and tax (EBIT) &amp; Free Cash flows. (Historical), Dividend yield, Price to book ratio (PB), Return ratios, etc.</li> </ul>
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	<p>The portfolio is reviewed on a quarterly basis and changes are made based on the data generated by the model and on the discretion of the fund manager. The change in the portfolio involves both sale and purchase, both partial and complete, of the existing stocks and purchase of new stocks, if any.</p> <p><b>Portfolio Construction:</b></p> <p>The portfolio shall be structured so as to keep risk at acceptable levels. This shall be done through various measures including:</p> <ol style="list-style-type: none"> <li>1. Broad diversification of portfolio.</li> <li>2. Ongoing review of relevant market, industry, sector and economic parameters.</li> <li>3. Investing in companies which have been researched.</li> <li>4. Investments in debentures and bonds will usually be in instruments which have been assigned investment grade ratings by any approved rating agency.</li> </ol> <p>The AMC may, from time to time, review and modify the Scheme's investment strategy if such changes are considered to be in the best interests of the unitholders and if market conditions warrant it. Investments in securities and instruments not specifically mentioned earlier may also be made, provided they are permitted by SEBI/RBI and approved by the Trustee. However, such investments shall be made keeping in view the Fundamental Attributes of the Scheme.</p>
<p><b>Risk Profile of the Scheme</b></p>	<p>Mutual Fund investments are subject to market risks. Please read the SID carefully for details on risk factors before investment. Scheme specific risk factors are summarized below:</p> <p><b>Risk factors associated with investing in equities and equity related instruments</b></p> <ol style="list-style-type: none"> <li>1. Equity shares and equity related instruments are volatile and prone to price fluctuations on a daily basis. Investments in equity shares and equity related instruments involve a degree of risk and investors should not invest in the Scheme unless they can afford to take the risks.</li> <li>2. Securities, which are not quoted on the stock exchanges, are inherently illiquid in nature and carry a larger amount of liquidity risk, in comparison to securities that are listed on the exchanges. Investment in such securities may lead to increase in the scheme portfolio risk.</li> </ol> <p><b>Risk factors associated with investing in debt and money market instruments</b></p> <p><b>Credit Risk:</b> Debt instruments carry a Credit Risk, which essentially implies a failure on the part of the issuer of the security to honour its principal or interest repayment obligations. This inability of a credit issuer to honour its obligation is generally a function of underlying performance of the asset, in terms of generating the requisite cashflows. Credit risks of debt securities are rated by independent rating agencies. These ratings range from 'AAA' (read as 'Triple A' denoting 'Highest Safety') to 'D' (denoting 'Default'), with intermediate ratings between the two extremes. Deteriorating credit profile of an issuer may lead to a rating agency lowering the rating on its debt instruments; this is likely to lead to a fall in the price of these instruments.</p> <p><b>Liquidity Risk:</b> Liquidity risk for debt instruments refers to the possibility that there might not be a ready buyer for the debt instrument at a time when the scheme decides to sell it. Liquidity risk is generally a function of the issuer (government securities are generally more liquid than corporate bonds), ratings (higher rated instruments are generally more liquid), and tenure (near tenure instruments are generally more liquid).</p>

**Interest-Rate Risk:** In case of fixed income bearing debt instruments, when interest rates rise, prices of the securities decline and when interest rates fall, the prices increase. The extent of sensitivity of a security to movement in interest rates is determined by its duration, which is a function of the existing coupon, the payment-frequency of such coupon, and days to maturity. Floating rate securities, with coupon linked to market interest rates have less sensitivity to interest rate risk.

**Re-investment Risk:** Investments in fixed income securities carry re-investment risk as interest rates prevailing on the coupon payment or maturity dates may differ from the original coupon of the bond.

**Prepayment Risk:** Certain fixed income instruments come with a 'call option' which give the issuer the right to redeem the security through prepayment before the maturity date. This option is generally exercised in periods of declining interest rates, and will result in the scheme having to reinvest the proceeds of prepayment at lower yields, resulting in lower interest income.

**Basis Risk:** The underlying benchmark of a floating rate security or a swap might become less active or may cease to exist and thus may not be able to capture the exact interest rate movements, leading to loss of value of the portfolio.

**Spread Risk:** In a floating rate security the coupon is expressed in terms of a spread or mark up over the benchmark rate. In the life of the security this spread may move adversely leading to loss in value of the portfolio. The yield of the underlying benchmark might not change, but the spread of the security over the underlying benchmark might increase leading to loss in value of the security.

**Liquidity Risk:** The liquidity of a bond may change, depending on market conditions leading to changes in the liquidity premium attached to the price of the bond. At the time of selling the security, the security can become illiquid, leading to loss in value of the portfolio.

**Liquidity Risk on account of unlisted securities:** The liquidity and valuation of the Schemes' investments due to their holdings of unlisted securities may be affected if they have to be sold prior to their target date of divestment. The unlisted security can go down in value before the divestment date and selling of these securities before the divestment date can lead to losses in the portfolio.

**Settlement Risk:** Fixed income securities run the risk of settlement which can adversely affect the ability of the fund house to swiftly execute trading strategies which can lead to adverse movements in NAV.

**Risk associated with Securitized Debt**

The Scheme may invest in domestic securitized debt such as Asset Backed Securities (ABS) or Mortgage Backed Securities (MBS). ABS are securitized debts where the underlying assets are receivables arising from various loans including automobile loans, personal loans, loans against consumer durables, etc. MBS are securitized debts where the underlying assets are receivables arising from loans backed by mortgage of residential / commercial properties.

At present in Indian market, following types of loans are securitized:

1. Auto Loans (cars / commercial vehicles /two wheelers)
2. Residential Mortgages or Housing Loans
3. Consumer Durable Loans
4. Personal Loans
5. Corporate Loans

In terms of specific risks attached to securitization, each asset class would have different underlying risks. Residential Mortgages generally have lower default rates than other asset classes, but repossession becomes difficult. On the other hand, repossession

	<p>repossession and subsequent recovery of commercial vehicles and other auto assets is fairly easier and better compared to mortgages. Asset classes like personal loans, credit card receivables are unsecured and in an economic downturn may witness higher default. A corporate loan/receivable, depend upon the nature of the underlying security for the loan or the nature of the receivable and the risks correspondingly fluctuate.</p>
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Risk Mitigation	Risk & Description specific to Equities	Risk Mitigants / Management Strategy
	<p>Quality risk Risk of investing in unsustainable / weak companies.</p>	<p>Investment universe carefully selected to only include high quality businesses.</p>
	<p>Price risk Risk of overpaying for a company.</p>	<p>"Fair value" based investment approach supported by comprehensive research.</p>
	<p>Risk of fluctuations in the value of the investment portfolio</p>	<p>The Scheme may use techniques and instruments such as futures and options etc. to hedge the risk of fluctuations in the value of the investment portfolio. The scheme may enter into derivatives transactions in a recognised stock exchange for the purpose of hedging and portfolio balancing in accordance with the guidelines and circulars issued by SEBI from time to time.</p>
	<p>Concentration risk</p>	<p>In order to diversify individual company risk, the fund will on an average and under normal circumstances invest across companies across various sectors. The quantum of exposure shall be decided on the basis of relative earnings, growth, valuations and potential valuations. As the fund intends to hold less number of stocks than a diversified growth fund, the NAV volatility (risk)</p>
	<p>Credit Risk</p>	<p>This risk shall be mitigated by investing in papers which have a high degree of safety. Further this risk is minimal in case of securities issued by central / state government/.</p>
	<p>Liquidity Risk</p>	<p>This risk shall be mitigated by striving to avoid investing in thinly traded securities or securities with lower volumes.</p>
	<p>Interest-Rate Risk</p>	<p>This risk can be mitigated by the fund manager striving to maintain portfolio duration which is appropriate for market conditions.</p>
	<p>Prepayment Risk</p>	<p>This risk can be mitigated by minimizing investments in securities with 'call options', unless favourable market conditions makes investments in such securities attractive.</p>

<p><b>Plans and Options</b></p>	<p>Investors are offered the following Investment Plan(s) to invest in the Scheme: Each Plan offers Regular Plan and Direct Plan.</p> <p><b>Direct Plan</b> Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.</p> <p><b>Regular Plan</b> Regular Plan is available for all type of investors investing through a Distributor. All the plans will have common portfolio.</p> <p><b>Options under each Plan(s)</b> Growth Income Distribution cum Capital Withdrawl (IDCW) (Payout and Re-investment Facility)</p> <p><b>Default Plans</b> Treatment of applications under Direct/ Regular Plans The investor must clearly specify his choice of plan. Investors subscribing under Direct Plan of a Scheme will have to indicate “Direct Plan” against the Scheme name in the application form e.g. “quant Quantamental Fund – Direct Plan”. Investors should also indicate “Direct” in the ARN column of the application form.</p> <p>The investors may refer to the following table for applicability of Direct Plan/ Regular Plan under different scenario:-</p> <table border="1" data-bbox="483 856 1409 1155"> <thead> <tr> <th><b>Scenario</b></th> <th><b>Broker Code mentioned by the investor</b></th> <th><b>Plan mentioned by the investor</b></th> <th><b>Default Plan to be captured</b></th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Not mentioned</td> <td>Direct</td> <td>Direct Plan</td> </tr> <tr> <td>2</td> <td>Not mentioned</td> <td>Regular</td> <td>Direct Plan</td> </tr> <tr> <td>3</td> <td>Mentioned</td> <td>Direct</td> <td>Direct Plan</td> </tr> <tr> <td>4</td> <td>Direct</td> <td>Not Mentioned</td> <td>Direct Plan</td> </tr> <tr> <td>5</td> <td>Direct</td> <td>Regular</td> <td>Direct Plan</td> </tr> <tr> <td>6</td> <td>Mentioned</td> <td>Regular</td> <td>Regular Plan</td> </tr> <tr> <td>7</td> <td>Mentioned</td> <td>Not Mentioned</td> <td>Regular Plan</td> </tr> </tbody> </table>	<b>Scenario</b>	<b>Broker Code mentioned by the investor</b>	<b>Plan mentioned by the investor</b>	<b>Default Plan to be captured</b>	1	Not mentioned	Direct	Direct Plan	2	Not mentioned	Regular	Direct Plan	3	Mentioned	Direct	Direct Plan	4	Direct	Not Mentioned	Direct Plan	5	Direct	Regular	Direct Plan	6	Mentioned	Regular	Regular Plan	7	Mentioned	Not Mentioned	Regular Plan
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7	Mentioned	Not Mentioned	Regular Plan																														



<p><b>Applicable NAV (after the scheme opens for repurchase and sale)</b></p>	<p><b>Subscriptions/Purchases including Switch - ins:</b> The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shall be applied for such purchase:</p> <ol style="list-style-type: none"> <li>1. where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time – the closing NAV of the Business day shall be applicable;</li> <li>2. where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cutoff time of the next Business Day - the closing NAV of the next Business Day shall be applicable;</li> <li>3. irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NAV of Business day on which the funds are available for utilization shall be applicable.</li> </ol> <p>For determining the applicable NAV for allotment of units in respect of purchase / switch in the Scheme, it shall be ensured that:</p> <ol style="list-style-type: none"> <li>i. Application is received before the applicable cut-off time</li> <li>ii. Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before the cutoff time.</li> <li>iii. The funds are available for utilization before the cut-off time.</li> </ol> <p>The aforesaid provisions shall also be applicable to systematic transactions like Systematic Investment Plan, Systematic Transfer Plan, etc offered by scheme(s).</p>		<p><b>For Redemption/ Repurchases/ Switch out:</b> The following cut-off timings shall be observed by the Mutual Fund in respect of Repurchase of units:</p> <ol style="list-style-type: none"> <li>a. where the application received upto 3.00 pm – closing NAV of the day of receipt of application; and</li> <li>b. an application received after 3.00 pm – closing NAV of the next Business Day.</li> </ol> <p>The above mentioned cut off timing shall also be applicable to transactions through the online trading platform.</p> <p>In case of Transaction through Stock Exchange Infrastructure, the Date of Acceptance will be reckoned as per the date &amp; time; the transaction is entered in stock exchange's infrastructure for which a system generated confirmation slip will be issued to the investor.</p>
<p><b>Minimum Application Amount/ Number of Units</b></p>	<p><b>Purchase</b> Rs. 5,000/- and in multiples of Rs. 1/- thereafter.</p>	<p><b>Additional Purchase</b> Rs. 1000/- and in multiples of Rs. 1/- thereafter.</p>	<p><b>Redemption</b> Rs. 1,000/-.</p>
<p><b>Dispatch of Repurchase (Redemption) Request</b></p>	<p>Within 10 working days of the receipt of the redemption request at the authorised centre of quant Mutual Fund.</p>		
<p><b>Benchmark Index</b></p>	<p>NIFTY 500 TRI</p>		
<p><b>Dividend (IDCW) Policy</b></p>	<p>The Trustee may decide and declare dividend at such rates, as it deems fit, subject to availability of distributable surplus (based on realised profits), from time to time.</p>		
<p><b>Fund Manager</b></p>	<p><b>Name</b></p>	<p><b>Tenure for scheme management</b></p>	
	<p>Mr. Sanjeev Sharma</p>	<p>Since February 2017</p>	
	<p>Mr. Anikt Pande</p>	<p>Since May 2020</p>	
	<p>Mr. Vasav Sahgal</p>	<p>Since June 2019</p>	
<p><b>Top 10 holdings of scheme Portfolio as on 30.04.2021</b></p>	<p>Not Applicable as the Scheme was launched in April 2021.</p>		
<p><b>Fund allocation towards various sectors as on 30.04.2021</b></p>	<p>Not Applicable as the Scheme was launched in April 2021.</p>		
<p><b>Website link for latest monthly scheme Portfolio</b></p>	<p><a href="https://quantmutual.com/statutory-disclosures">https://quantmutual.com/statutory-disclosures</a></p>		

<b>Portfolio turnover ratio</b>	Portfolio Turnover Ratio as on 30.04.2021: Not Applicable as the Scheme was launched in April 2021.																						
<b>Performance of the scheme as on April 30, 2021</b>	<p>This Scheme is a new Scheme and does not have any performance track record.</p> <p>No. of folios as on 30.04.2021 - 3114</p> <p>Assets under Management as on 30.04.2021 – 34.76 Crores</p>																						
<b>Expenses of the Scheme</b>																							
<b>(i) Load Structure</b>	<p>Entry load : Nil</p> <p>Exit load : For redemptions / switch outs (including SIP/STP) within 1 year from the date of allotment of units, irrespective of the amount of investment: 1%</p> <p>If units are redeemed or switched out (including SIP/STP) on or after 1 year from the date of allotment of units, irrespective of the amount of investment : Nil.</p>																						
<b>(ii) Annual Recurring expenses</b>	<p>These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:</p> <p>The AMC has estimated that upto 2.25 % of the daily net assets of the Scheme will be charged as expenses. For the actual current expenses being charged, the investor should refer to the website of the AMC.</p> <table border="1" data-bbox="490 905 1377 1709"> <thead> <tr> <th data-bbox="496 905 1032 936"><b>Expense Head</b></th> <th data-bbox="1039 905 1370 936"><b>% of daily Net Assets</b></th> </tr> </thead> <tbody> <tr> <td data-bbox="496 940 1032 972">Investment Management and Advisory fees</td> <td data-bbox="1039 940 1370 972" rowspan="16" style="text-align: center;"><b>Upto 2.25%</b></td> </tr> <tr> <td data-bbox="496 976 1032 1008">Trustee fees</td> </tr> <tr> <td data-bbox="496 1012 1032 1043">Audit fees</td> </tr> <tr> <td data-bbox="496 1047 1032 1079">Custodian fees</td> </tr> <tr> <td data-bbox="496 1083 1032 1115">RTA fees</td> </tr> <tr> <td data-bbox="496 1119 1032 1150">Marketing &amp; Selling expense incl. agent commission</td> </tr> <tr> <td data-bbox="496 1155 1032 1186">Cost related to investor communications</td> </tr> <tr> <td data-bbox="496 1190 1032 1222">Cost of fund transfer from location to location</td> </tr> <tr> <td data-bbox="496 1226 1032 1278">Cost of providing account statements and IDCW redemption cheques and warrants</td> </tr> <tr> <td data-bbox="496 1283 1032 1314">Costs of statutory Advertisements</td> </tr> <tr> <td data-bbox="496 1318 1032 1350">Cost towards investor education &amp; awareness (at least 2</td> </tr> <tr> <td data-bbox="496 1354 1032 1407">Brokerage &amp; transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.</td> </tr> <tr> <td data-bbox="496 1411 1032 1476">Goods &amp; Service Tax (GST) on expenses other than investment and advisory fees</td> </tr> <tr> <td data-bbox="496 1480 1032 1512">GST on brokerage and transaction cost</td> </tr> <tr> <td data-bbox="496 1516 1032 1547">Other Expenses*</td> </tr> <tr> <td data-bbox="496 1551 1032 1604"><b>Maximum total expense ratio (TER) permissible under Regulation 52(6)(c)</b></td> <td data-bbox="1039 1608 1370 1640" style="text-align: center;">Upto 0.05%</td> </tr> <tr> <td data-bbox="496 1644 1032 1709">Additional expenses for gross new inflows from specified cities under regulation 52(6A)(b)</td> <td data-bbox="1039 1648 1370 1680" style="text-align: center;">Upto 0.30%</td> </tr> </tbody> </table> <p data-bbox="474 1717 1446 1801">*Any other expenses which are directly attributable to the Scheme, may be charged with the approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.</p>	<b>Expense Head</b>	<b>% of daily Net Assets</b>	Investment Management and Advisory fees	<b>Upto 2.25%</b>	Trustee fees	Audit fees	Custodian fees	RTA fees	Marketing & Selling expense incl. agent commission	Cost related to investor communications	Cost of fund transfer from location to location	Cost of providing account statements and IDCW redemption cheques and warrants	Costs of statutory Advertisements	Cost towards investor education & awareness (at least 2	Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.	Goods & Service Tax (GST) on expenses other than investment and advisory fees	GST on brokerage and transaction cost	Other Expenses*	<b>Maximum total expense ratio (TER) permissible under Regulation 52(6)(c)</b>	Upto 0.05%	Additional expenses for gross new inflows from specified cities under regulation 52(6A)(b)	Upto 0.30%
<b>Expense Head</b>	<b>% of daily Net Assets</b>																						
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Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.																							
Goods & Service Tax (GST) on expenses other than investment and advisory fees																							
GST on brokerage and transaction cost																							
Other Expenses*																							
<b>Maximum total expense ratio (TER) permissible under Regulation 52(6)(c)</b>		Upto 0.05%																					
Additional expenses for gross new inflows from specified cities under regulation 52(6A)(b)	Upto 0.30%																						
<b>Tax treatment for the Investors (Unitholders)</b>	Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.																						

<p>Accounts Statements</p>	<p>On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of e-mail and/or SMS within 5 business days from the date of receipt of transaction request/allotment will be sent to the Unit Holders registered e-mail address and/or mobile number.</p> <ul style="list-style-type: none"> <li>• In case of Unit Holders holding units in the dematerialized mode, the Fund will not send the account statement to the Unit Holders. The statement provided by the Depository Participant will be equivalent to the account statement.</li> <li>• For those unit holders who have provided an e-mail address, the AMC will send the account statement by e-mail.</li> <li>• Unit holders will be required to download and print the documents after receiving e-mail from the Mutual Fund. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly advise the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.</li> <li>• The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/Registrar. In case of specific request received from the Unit Holders, the AMC/Fund will provide the Account Statement to the Investors within 5 business days from the receipt of such request.</li> </ul> <p><b>Consolidated Account Statement (CAS)</b> CAS is an account statement detailing all the transactions and holding at the end of the month including transaction charges paid to the distributor, across all schemes of all mutual funds. CAS issued to investors shall also provide the total purchase value/cost of investment in each scheme.</p> <p>Further, CAS issued for the half-year (September/ March) shall also provide</p> <ul style="list-style-type: none"> <li>• The amount of actual commission paid by AMC/Mutual Fund to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each scheme.</li> <li>• The Scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and Other expenses for the period for each scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in.</li> </ul> <p>The word transaction will include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan and systematic transfer plan.</p> <p><b>For Unitholders not holding Demat Account:</b> CAS for each calendar month shall be issued, on or before tenth day of succeeding month by the AMC.</p> <p>The AMC shall ensure that a CAS for every half yearly (September/ March) is issued, on or before tenth day of succeeding month, detailing holding at the end of the six month, across all schemes of all mutual funds, to all such investors in whose folios no transaction has taken place during that period.</p> <p>The AMC shall identify common investors across fund houses by their Permanent Account Number (PAN) for the purposes of sending CAS. In he event the account has more than one registered holder, the first named Unit Holder shall receive the Account Statement.</p> <p>The AMC will send statement of accounts by e-mail where the Investor has provided the e-mail id. Additionally, the AMC may at its discretion send Account Statements individually to the investors.</p>
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**b) For Unitholders holding Demat Account:**

SEBI vide its circular no. CIR/MRD/DP/31/2014 dated November 12, 2014 read with other applicable circulars issued by SEBI from time to time, to enable a single consolidated view of all the investments of an investor in Mutual Fund and securities held in demat form with Depositories, has required Depositories to generate and dispatch a single CAS for investors having mutual fund investments and holding demat accounts.

In view of the aforesaid requirement, for investors who hold demat account, for transactions in the schemes of quant Mutual Fund, a CAS, based on PAN of the holders, will be sent by Depositories to investors holding demat account, for each calendar month within 15th day of the succeeding month to the investors in whose folios transactions have taken place during that month.

CAS will be sent by Depositories every half yearly (September/March), on or before 21st day of succeeding month, detailing holding at the end of the six month, to all such investors in whose folios and demat accounts there have been no transactions during that period.

CAS sent by Depositories is a statement containing details relating to all financial transactions made by an investor across all mutual funds viz. purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan (including transaction charges paid to the distributor) and transaction in dematerialized securities across demat accounts of the investors and holding at the end of the month.

In case of demat accounts with nil balance and no transactions in securities and in mutual fund folios, the depository shall send account statement in terms of regulations applicable to the depositories. Investors whose folio(s)/ demat account(s) are not updated with PAN shall not receive CAS.

Consolidation of account statement is done on the basis of PAN. Investors are therefore requested to ensure that their folio(s)/ demat account(s) are updated with PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

For Unit Holders who have provided an e-mail address to the Mutual Fund or in KYC records, the CAS is sent by e-mail. However, where an investor does not wish to receive CAS through email, option is given to the investor to receive the CAS in physical form at the address registered in the Depository system.

Investors who do not wish to receive CAS sent by depositories have an option to indicate their negative consent. Such investors may contact the depositories to opt out. Investors who do not hold demat account continue to receive CAS sent by RTA/AMC, based on the PAN, covering transactions across all mutual funds as per the current practice.

In case an investor has multiple accounts across two depositories; the depository with whom the account has been opened earlier will be the default depository.

The dispatches of CAS by the depositories constitute compliance by the AMC/ the Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations. However, the AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

Investors whose folio(s)/demat account(s) are not updated with PAN shall not receive CAS. Investors are therefore requested to ensure that their folio(s)/demat account(s) are updated with PAN.

For folios not included in the CAS (due to non-availability of PAN), the AMC shall issue monthly account statement to such Unit holder(s), for any financial transaction undertaken during the month on or before 15th of succeeding month by mail or email.

	<p>For folios not eligible to receive CAS (due to non-availability of PAN), the AMC shall issue an account statement detailing holding across all schemes at the end of every six months (i.e. September/March), on or before 21st day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period shall be sent by mail/e-mail.</p> <p><b>Option to hold units in dematerialised (demat) form</b> Investors shall have an option to receive allotment of Mutual Fund units in their demat account while subscribing to the Scheme in terms of the guidelines/ procedural requirements as laid by the Depositories (NSDL/CDSL) from time to time.</p> <p>Investors desirous of having the Units of the Scheme in dematerialized form should contact the ISCs of the AMC/Registrar.</p> <p>Where units are held by investor in dematerialized form, the demat statement issued by the Depository Participant would be deemed adequate compliance with the requirements in respect of dispatch of statements of account.</p> <p>In case investors desire to convert their existing physical units (represented by statement of account) into dematerialized form or vice versa, the request for conversion of units held in physical form into Demat (electronic) form or vice versa should be submitted alongwith a Demat/Remat Request Form to their Depository Participants. In case the units are desired to be held by investor in dematerialized form, the KYC performed by Depository Participant shall be considered compliance of the applicable SEBI norms.</p> <p>Further, demat option shall also be available for SIP transactions. Units will be allotted based on the applicable NAV as per Scheme Information Document and will be credited to investors Demat Account on weekly basis on realization of funds.</p> <p>Units held in Demat form are freely transferable in accordance with the provisions of SEBI (Depositories and Participants) Regulations, as may be amended from time to time. Transfer can be made only in favour of transferees who are capable of holding units and having a Demat Account. The delivery instructions for transfer of units will have to be lodged with the Depository Participant in requisite form as may be required from time to time and transfer will be affected in accordance with such rules / regulations as may be in force governing transfer of securities in dematerialized mode.</p> <p>For details, Investors may contact any of the Investor Service Centres of the AMC.</p>
<p><b>Net Asset Value (NAV)</b></p>	<p>NAV shall be published on all business days on AMC website: <a href="http://www.quantmutual.com">www.quantmutual.com</a> and AMFI website: <a href="http://www.amfiindia.com">www.amfiindia.com</a></p>

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**Statutory Details:** quant Mutual Fund has been established as a Trust under the Indian Trusts Act, 1882, sponsored by quant Money Managers Limited (liability restricted to Rs. 1 Lakh). Trustee: quant Capital Trustee Limited Investment Manager: quant Money Managers Limited (the AMC) Risk Factors: quant Capital Finance and Investments Private Limited is not liable or responsible for any loss or shortfall resulting from the operation of the scheme.

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**