



multi asset, multi manager

quant Mutual Brokerage Rates

(October 01, 2020 to December 31, 2020)

Brokerage Structure				Structure 1	Structure 2	B-30
Type	Scheme Name	Category	Exit Load Structure	Base (%)	Base Plus (%)	1 year only
Equity	quant Tax Plan	ELSS	Lock in 3 Years	1.5	1.75	As per availability
	quant Focused Fund	Focused Large Cap	No Exit Load	1.5	1.75	
	quant Active Fund	Multi Cap	No Exit Load	1.5	1.75	
	quant Mid Cap Fund	Mid Cap	1 Year Exit	1.5	1.75	
	quant Small Cap Fund (October 01, 2020 till October 12, 2020)	Small Cap	1 Year Exit	0.1	0.10	
	quant Small Cap Fund (October 13, 2020 Onwards)	Small Cap	1 Year Exit	0.85	1.00	
Debt	quant Dynamic Bond Fund	Dynamic Bond	No Exit Load	0.10	0.10	NA
	quant Money Market Fund	Money Market	No Exit Load	0.10	0.10	
	quant Liquid Fund	Liquid	Please Refer Website	0.35	0.35	
Other Schemes	quant Multi Asset Fund, quant Large & Mid-Cap Fund, quant Consumption Fund, quant Absolute Fund, quant Infrastructure Fund	Please Refer Website	Please Refer Website	0.1	0.1	NA

Category	Criteria
Base Plus	AUM > Rs. 25 Lacs with quant Mutual Fund Or Gross Sales; Rs. 2 Lacs in a month.
Base	Anyone not falling in the above definition

Brokerage Calculation for Base Category

1. Distributor having AUM of Rs. 25 Lacs or less with quant Mutual Fund will be categorized into base pricing model.
2. During any month if gross sales are above Rs. 2 Lacs, distributor will get Base Plus for that month.
3. All SIPs registered during that month will be calculated with the Base Plus rate till the time SIP is Live.

quant mutual fund

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Notes

A. General:

This brokerage structure outlined in the table above are applicable for the period October 01, 2020 to December 31, 2020. However, quant Money Managers Limited (AMC) reserves the right to change the applicable Brokerage Rates as it may deem fit without any prior intimation or notification in the intermittent period in case of Regulatory Changes / Change in Industry practices in respect to payment of Brokerage on Funds or due to any other circumstances which AMC may deem fit.

B. Statutory/ AMFI Regulations

The commission structure communicated by quant Money Managers Limited from time to time is all inclusive i.e. inclusive of any cess, charges, taxes, etc. that may be applicable to the Distributor and eligible for all the applicable statutory deductions, including Income Tax, GST and any other indirect taxes as may be applicable if any.

- 1) Investment in quant Tax Plan (an Open-ended equity linked tax saving scheme with a 3 year lock in) is currently eligible for deduction under Section 80C of the Income Tax Act, 1961. Investors should be requested to consult their tax advisor in this matter.
- 2) The rules and regulations of SEBI/ AMFI pertaining to brokerage payment to distributors will also be applicable for payment of the above mentioned brokerage structure.
- 3) The above brokerage structure is based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the above brokerage structure.
- 4) In case any Assets under your ARN Code are transferred to another Distributor at the request of the Investor, you shall not be entitled to receive any trail commission on such assets. Further, the payments of Trail Commission on Assets that are transferred from another Distributor to your ARN Code shall be subject to us receiving a "Clearance Certificate" from the previous Distributor and shall be subject to applicable rules. Please contact the AMC or in its Instruction for further details.
- 5) The distributors shall adhere to all applicable SEBI Regulations and more particularly SEBI circulars dated June 26, 2002 and August 27, 2009 on the Code of Conduct and other guidelines issued by AMFI from time to time for mutual fund distributors and ensure that (i) no rebate is given to investors in any form and (ii) there is no splitting of applications for any benefit.
- 6) In terms of SEBI / AMFI circulars / guidelines, the Channel Partners shall submit to quant Mutual all account opening and transaction documentation including Know Your Client, Power of Attorney (PoA), Account Opening Form, etc. in respect of investors / transactions through Channel Partners. Further, the payment of commission shall be made by AMC depending on the documentation completion status.
- 7) SEBI has communicated to all mutual Fund/ AMCs that any sales, marketing, promotional or other literature / material about the fund house products prepared by its distributors need to adhere and comply with the guidelines issued by SEBI with respect to the advertisement by Mutual Funds. It has further advised the AMCs to take suitable steps for put in place a mechanism for proactive oversight in this regard.
- 8) The Distributor shall not make representations/ statements concerning the units of the schemes other than as contained in the current SID(s), Key Information Memorandum and printed information issued by quant Mutual / quant Money Managers Limited as information supplemental to such documents. The Distributor shall only use such advertising / sales material for distributing / selling activities as provided approved by quant Money Managers Limited when advertising. The Distributor shall not indulge in any kind of malpractice or unethical practice to sell, market or induce any investor to buy mutual qMF units which may directly / indirectly impact quant Mutual / quant Money Managers Limited in any manner.
- 9) In terms of a SEBI directive, the Distributor / Advisor shall not take any Irrevocable Power of Attorney from its clients in connection with investments in the schemes of quant Mutual and that the liability of Distributor / Advisor shall not be limited and depend upon his failure to discharge his obligations.
- 10) AMFI has vide circular dated August 27, 2010 introduced Know Your Distributor (KYD) norms for Mutual Fund Distributors with effect from September 1, 2010, which is similar to Know Your Client (KYC) norms for investors, requiring the distributors to submit identity proof, address, PAN and bank account details with proof. KYD norms are applicable for fresh ARN registrations and ARN renewals effective September 1, 2010. The existing ARN holders are required to comply with these norms by March 31, 2011, failing which AMCs have been mandated to suspend payment of commission till the distributors comply with the requirements. All the Distributors / Advisors are encouraged to complete the KYD requirements at the earliest. The KYD Forms and Process Note are available on AMFI website www.amfiindia.com.
- 11) This brokerage structure is subject to EUIN regulations/guidelines as specified by SEBI/AMFI and/or adopted by the Mutual Fund Industry.
- 12) The decision of the AMC shall be considered final.